Human Infection with *Ascaris lumbricoides* Is Associated with Suppression of the Interleukin-2 Response to Recombinant Cholera Toxin B Subunit following Vaccination with the Live Oral Cholera Vaccine CVD 103-HgR

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To investigate the potential immunomodulatory effects of concurrent ascariasis on the cytokine response to a live oral vaccine, we measured cytokine responses to cholera toxin B subunit (CT-B) following vaccination with the live oral cholera vaccine CVD 103-HgR in *Ascaris lumbricoides*-infected subjects randomized in a double-blind study to receive two doses of either albendazole or placebo prior to vaccination and in a group of healthy U.S. controls. Postvaccination cytokine responses to CT-B were characterized by transient increases in the production of interleukin-2 (IL-2; *P* = 0.02) and gamma interferon (IFN-γ; *P* = 0.001) in the three study groups combined; however, postvaccination increases in IFN-γ were significant only in the albendazole-treated *A. lumbricoides* infection group (*P* = 0.008). Postvaccination levels of IL-2 were significantly greater in the albendazole-treated group compared with the placebo group (*P* = 0.03). No changes in levels of Th1 and Th2 cytokines in response to control ascaris antigens were observed over the same period. These findings indicate that vaccination with CVD 103-HgR is associated with a Th1 cytokine response (IL-2 and IFN-γ) to CT-B, that infection with *A. lumbricoides* diminishes the magnitude of this response, and that albendazole treatment prior to vaccination was able to partially reverse the deficit in IL-2. The potential modulation of the immune response to oral vaccines by geohelminth parasites has important implications for the design of vaccination campaigns in geohelminth-endemic areas.

Geohelminth parasites infect a large proportion of the world's population, particularly children in less socioeconomically privileged regions of the world. The most prevalent intestinal helminth is *Ascaris lumbricoides*, which is estimated to infect 1.5 billion humans worldwide (3).

Childhood infections with ascariasis are associated with growth stunting (24), deficiencies of macro- and micronutrients (10, 24), and small intestinal mucosal damage and malabsorption (24, 33). The presence of *A. lumbricoides* parasites in the small bowel may affect also the immune response to oral vaccines and explain the relatively poor immunogenicity of several live oral vaccines in certain populations of Asia, Africa, and Latin America compared with that seen in volunteers from industrialized countries. Such oral vaccines include Sabin oral polio vaccine (12, 25), rotavirus (16, 19), and the oral cholera vaccine CVD 103-HgR (31, 32). Support for a potential effect of concurrent ascariasis on the response to oral vaccines is provided by a recent study that demonstrated enhanced vibriocidal antibody titers to CVD 103-HgR in ascariis-infected children pretreated with albendazole prior to vaccination (7).

A mechanism by which ascariasis might affect the immune response to oral vaccines such as CVD 103-HgR is bystander suppression. Helminth infections are characterized by highly polarized Th2 cytokine responses (e.g., interleukin-4 [IL-4] and IL-5) and have been shown to modulate the immune responses to nonparasite or heterologous antigens by suppressing Th1 cytokine (IL-2 and gamma interferon [IFN-γ]) production and enhancing Th2 cytokine secretion in experimental animal models (1, 14, 26). Evidence for a similar phenomenon occurring in human helminth infections has been more difficult to demonstrate, although helminth-mediated suppression of the IFN-γ response to tetanus toxoid following tetanus vaccination has been shown to occur in infections with *Oncocerca volvulus* (6) and *Schistosoma mansoni* (28).

To test the hypothesis that concurrent infections with *A. lumbricoides* affect the balance of Th1 and Th2 cytokines produced in response to cholera toxin B subunit (CT-B), a secreted antigen of the vaccine CVD 103-HgR, we investigated CT-B-specific cytokine responses following vaccination with CVD 103-HgR in young adults infected with *A. lumbricoides*, a comparable group of infected controls who had received anthelmintic treatment prior to vaccination, and a group of healthy controls from the United States.

**MATERIALS AND METHODS**

**Subjects and study design.** Healthy adult volunteers were recruited at the Laboratory of Parasitic Diseases, National Institutes of Health. Each volunteer received a dose of 5 × 10⁸ CFU of CVD 103-HgR (Swiss Serum and Vaccine Institute, Berne, Switzerland) administered according to the manufacturer's in-
blood mononuclear cells (PBMC) were added to the plates at a concentration of M2 to L3 (L2/L3 antigen) (8) at 1 × 10^7 CFU dose of CVD 103-HgR and 400 mg of albendazole at the end of the study.

Cytokine ELISA. Supernatant fluids were harvested from the same cultures used for proliferation assays at 24 h (IL-2 and IL-4) and 5 days (IL-5 and IFN-γ). Cytokine enzyme-linked immunosorbent assay (ELISA) for IFN-γ, IL-2, IL-4, and IL-5 were performed as described previously (22). Cytokine levels were expressed in picograms per milliliter as the absolute difference between protein levels in antigen-stimulated cultures and control medium cultures.

Statistical analysis. Helminth egg counts were log transformed before analysis. Associations between categorical variables were analyzed by using Fisher’s exact test or the McNemar test (for paired data) (2) where appropriate. Statistical analyses of anthropometric measurements were performed using Epi Info 6 statistical software (Centers for Disease Control and Prevention, Atlanta, Ga.). Assessment of the changes in the levels of cytokines within groups over the observation period was performed by using Friedman’s two-way analysis of variance (2). Since significant heterogeneity in the data was observed only at the 14-day observation time, further comparisons of intragroup changes in cytokine levels were performed by comparing the mean of the two prevaccination findings with the findings at 14 days postvaccination using the Wilcoxon signed rank sum test for paired samples. Comparison between study groups was performed by using the Mann-Whitney U test. The protocol was approved by the Institutional Review Boards of the National Institute of Allergy and Infectious Diseases and by the Hospital Vozandes in Ecuador.

RESULTS

Demographic and parasitologic data. Eight healthy volunteers from the National Institutes of Health were followed up completely (Table 1). None had a recent history of a cholera-like illness or cholera vaccination (e.g., within the past 5 years). In the Ecuador study group, a total of 28 subjects completed follow-up, of whom 13 received placebo and 15 were treated with albendazole: none reported a history of a cholera-like illness or cholera vaccination. The baseline nutritional and parasitologic characteristics of the Ecuador study group are shown in Table 1. Before albendazole or placebo treatment, the infection intensities with *A. lumbricoides* were greater in the placebo group (median, 7,491 epg; range, 2,166 to 36,814 epg) than in the albendazole group (median, 3,266 epg; range, 1,456 to 9,585 epg) (P = 0.003). There were no other significant differences between the albendazole and placebo groups with respect to age, sex ratios, hematocrit, indices of nutritional status, or the prevalence and intensity of *T. trichiura* infection. None of the subjects in the infection group had evidence of infection with hookworm or *Strongyloides stercoralis* by stool examination or had evidence of malnutrition from anthropometric measurements.

Response to anthelminthic treatment. There were significant decreases (P < 0.0001) in the prevalence of *A. lumbricoides* infection in the albendazole group (pretreatment level of 100% versus a posttreatment level of 20%) compared with the placebo group (pretreatment level of 100% versus a posttreatment level of 92.3%) following the administration of two doses of albendazole or placebo. The infection intensities with *A. lumbricoides* did not change significantly in the placebo group (pretreatment median of 7,491 epg versus a posttreatment median of 5,751 epg) but declined significantly in the albendazole-treated group (pretreatment median of 3,266 epg versus a posttreatment median of 0 epg; P = 0.001). The prevalence of *T. trichiura* infection did not alter significantly in either placebo (pretreatment level of 61.5% versus a posttreatment level of 23%) or albendazole (pretreatment level of 80% versus a posttreatment level of 33%) groups after administration of two doses, but the infection intensity did decline significantly in the albendazole-treated group (pretreatment median of 213 epg versus a posttreatment median of 0 epg; P = 0.004) but not the
placebo group (pretreatment median of 71 epg versus a post-treatment median of 142 epg).

Selection of postvaccination sampling times. Serial blood samples were collected from the group of U.S. healthy controls before and after vaccination, and CT-B-specific PBMC responses were tested for lymphoproliferation, cytokine production (IL-2, IFN-γ, and IL-5), and the frequency of circulating CT-specific IgG and IgA antibody-secreting cells (ASC). The findings are shown in Fig. 1 and Table 2. Maximal lymphoproliferation was biphasic, with peaks at days 14 and 28, although all postvaccination lymphoproliferative responses measured were significantly elevated compared with prevaccination levels ($P < 0.05$). Trends of increased postvaccination production of both IFN-γ (Fig. 1) and IL-2 (data not shown) were observed, with a peak at 14 days postvaccination. The kinetics of the appearance in the peripheral blood of IgG CT-B-positive ASC are shown in Fig. 1. Peaks in the frequency of both CT-B-specific IgA and IgG ASC occurred between 9 and 14 days postvaccination: IgG ASC frequencies were significantly elevated compared with baseline levels at days 12 ($P = 0.04$) and 14 ($P < 0.04$) postvaccination, and IgA ASC frequencies were significantly elevated at day 12 postvaccination ($P = 0.04$). No CT-B-positive ASC (IgG or IgA) were detected before vaccination or by 24 days postvaccination. To minimize the number of sampling times in the Ecuador study group, it was decided to sample subjects twice prevaccination (before the first dose of anthelmintic treatment) (study day 237) and after receiving two doses of albendazole or placebo and immediately before receiving CVD 103-HgR (study day 0) and at days 14 and 28 postvaccination.

Changes in cytokine secretion by PBMC stimulated with CT-B. (i) Cytokine response to CT-B. Cytokine production of IL-2, IL-5, and IFN-γ by PBMC stimulated with CT-B are shown in Table 2. IL-4 secretion by PBMC stimulated with CT-B was negligible (data not shown). The CT-B-specific cytokine production was negligible during the prevaccination observation period (Fig. 1 and 2; study days 237 and 0) and became detectable after vaccination at day 14, after which the levels declined. Postvaccination antigen-specific cytokine pro-

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**TABLE 1.** Demographic, nutritional, and parasitologic characteristics of the group of healthy U.S. controls and the A. lumbricoides infection group

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>U.S. controls ($n = 8$)</th>
<th>Placebo ($n = 13$)</th>
<th>Albendazole ($n = 15$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean age in yrs (SD)</td>
<td>32 (2.3)</td>
<td>14 (1.4)</td>
<td>14 (1.5)</td>
</tr>
<tr>
<td>Sex (no. male [M]/no. female [F])</td>
<td>6 M/2 F</td>
<td>6 M/7 F</td>
<td>8 M/7 F</td>
</tr>
<tr>
<td>Hematocrit (%) (SD)</td>
<td>ND</td>
<td>40.9 (4.0)</td>
<td>39.7 (3.4)</td>
</tr>
<tr>
<td>Ht (cm) (SD)</td>
<td>ND</td>
<td>149.3 (7.7)</td>
<td>149.8 (5.9)</td>
</tr>
<tr>
<td>Wt (kg) (SD)</td>
<td>ND</td>
<td>44.8 (19.2)</td>
<td>42.7 (7.7)</td>
</tr>
<tr>
<td>SFT (mm) (SD)</td>
<td>ND</td>
<td>12 (5.0)</td>
<td>13.7 (5.2)</td>
</tr>
<tr>
<td>MUAC (cm) (SD)</td>
<td>ND</td>
<td>23.4 (5.3)</td>
<td>23.0 (2.8)</td>
</tr>
</tbody>
</table>

*A. lumbricoides*

Prevalence (%) | ND | 100 | 100 |
Infection intensity (epg) | ND | 7,491 (2,166–36,814)$^d$ | 3,266 (1,456–9,585)$^d$ |

*T. trichiura*

Prevalence (%) | ND | 61.5 | 80.0 |
Infection intensity (epg) | ND | 71 (0–1,775)$^d$ | 213 (0–355)$^d$ |

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$^a$ SFT, skin fold thickness; MUAC, mid-upper-arm circumference.

$^b$ Data are shown only for those subjects who completed follow-up over the observation period.

$^c$ ND, not done.

$^d$ Median (range).

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**FIG. 1.** Lymphoproliferative, IFN-γ cytokine, and ASC responses to CT-B in eight healthy controls following vaccination with CVD 103-HgR. (A) Percent change of stimulation indices (SI) compared with prevaccination levels. (B) IFN-γ production. (C) CT-B-specific ASC frequencies. Box plots represent median values (central line), interquartile range (box margins), 95% confidence intervals (bars), and outlying values (circles). The observation times at which median values were significantly greater ($P < 0.05$) than prevaccination levels are indicated ($\times$). Numbers within or above boxes show the proportions (percentages) of subjects with a detectable response for each group.
The findings for L2/L3 antigen are shown in Fig. 3: no changes in production were observed before or after the administration of the two doses of albendazole or placebo.

**DISCUSSION**

Geohelminth parasites are highly prevalent in conditions of poor sanitation and hygiene. *A. lumbricoides* is the most prevalent of the intestinal helminth infections that colonize the small intestine. The presence of parasitic intestinal worms in close association with the intestinal mucosa may affect the immune response to orally delivered antigens, including live oral vaccines, by (i) damaging mucosal integrity, (ii) induction of an inflammatory mucosal immune response that may damage the mucosa itself, and (iii) creating a highly polarized immune environment that may influence the immune response to nonparasite antigens. To investigate the potential modulatory role of ascariasis on the immune response to an orally administered vaccine in this study, we chose as a study site a rural area of Ecuador where *A. lumbricoides* is highly prevalent and where other small bowel-dwelling geohelminths (hookworm and *S. stercoralis*) are of low prevalence and used as the model oral vaccine the live attenuated oral cholera vaccine CVD 103-HgR. This vaccine, which induces strong systemic antibody responses in healthy adults (20), has been shown to be poorly immunogenic (at a dose of $5 \times 10^8$ CFU) in populations where geohelminths are likely to be highly prevalent (31, 32).

The findings of our study indicate that ascariasis infection in young adults is associated with diminished Th1 response to CT-B following vaccination with CVD 103-HgR. While the postvaccination cytokine responses in the study group as a whole were characterized by the production of IL-2 and IFN-γ, there were trends of impaired secretion of both these Th1 cytokines in the *A. lumbricoides* infection group as a whole, although none of these differences reached statistical significance. Further, when the *A. lumbricoides* infection group was analyzed separately, the group that received albendazole prior to vaccination demonstrated significantly enhanced IL-2 production to CT-B after vaccination compared with the placebo group, whereas IFN-γ production was not affected. The reason for this differential effect of albendazole pretreatment on Th1 cytokine secretion to CT-B is not clear. The failure to reach statistical significance in some of the observed effects could be attributed to the relatively small sample sizes of the three study.

<table>
<thead>
<tr>
<th>Group (n)</th>
<th>Mean cytokine production (pg/ml [range]) of:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>IL-2</td>
<td>IL-5</td>
</tr>
<tr>
<td>U.S. controls (8)</td>
<td>Before</td>
<td>After</td>
</tr>
<tr>
<td>Placebo (13)</td>
<td>9.8 (0–52.7)</td>
<td>38.0 (0–210.4)</td>
</tr>
<tr>
<td>Albendazole (15)</td>
<td>0.3 (0–55.8)</td>
<td>21.8 (0–74.0)</td>
</tr>
<tr>
<td>All infected (28)</td>
<td>0 (0–55.8)</td>
<td>0 (0–252.9)</td>
</tr>
<tr>
<td>Total (all groups) (36)</td>
<td>0 (0–55.8)</td>
<td>1.4 (0–252.9)</td>
</tr>
</tbody>
</table>

* Shown are the median values and ranges for cytokine production levels before vaccination with CVD 103-HgR (before) and at 14 days postvaccination (after). Prevaccination cytokine levels are the median value of the mean of the two prevaccination observation times (before albendazole or placebo treatment and after two doses of albendazole or placebo).
groups, particularly the U.S. control group, and further larger studies are indicated to confirm these observations.

The modulatory role of human helminth infections on the immune response to nonparasite or heterologous antigens is of great potential interest with respect both to the immune responses to vaccines and to the responses to other pathogens. Helminth infections induce potent Th2 cytokine responses that have been shown to influence the responses to nonparasite antigens in both experimental animal models (1, 14, 26) and in humans (6, 28). Typically, such bystander effects in human helminth infections result in impaired secretion of Th1 cytokines (6, 28).

Human infections with *A. lumbricoides* induce highly polarized Th2 cytokine responses characterized by the relatively greater production of IL-4 and IL-5 compared to IFN-γ in response to adult and larval-stage antigens (8). By inducing a highly polarized Th2 environment in the gastrointestinal (adult parasites and invasive L2/L3-stage parasites) and respiratory mucosa (migratory larval L3/L4-stage parasites), human ascariasis has the potential to modulate the immune responses to antigens presented via the gastrointestinal (e.g., vaccine-derived antigens) or respiratory (e.g., aeroallergens or *Mycobacterium tuberculosis*) routes. Evidence for an immunomodulatory effect of ascariasis on the immune responses to antigens presented via the mucosal route is suggested by the beneficial effect of anthelmintic treatment on asthma symptoms and requirement for treatment in asthmatic individuals living in a geohelminth-endemic area of Venezuela (21) and by the fact that concurrent *A. lumbricoides* infections are associated with enhanced and inappropriate production of IL-5 by PBMC stimulated with mycobacterial antigen (PPD) (8). In this study, we provide evidence of immunomodulation by ascariasis of the immune response to an antigenic component of an oral vaccine.

Albendazole is a broad-spectrum drug with efficacy against many helminth and intestinal protozoal infections, including giardiasis. In this study, we have attributed differences in post-vaccination cytokine responses between the albendazole and placebo treatment groups to the treatment effects of albendazole against *A. lumbricoides*. The reasons for this are that (i) the Ecuador subject groups were selected on the basis of significant infection intensities with *A. lumbricoides*; (ii) *T. trichiura*, the only other geohelminth parasite in these groups, was of low intensity and resides in the large intestine; and (iii) although we did not examine the stools for the presence of *Giardia lamblia* (*intestinalis*), the results of previous studies, particularly the U.S. control group, and further larger studies are indicated to confirm these observations.

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surveys in similar rural communities in Ecuador would indicate a prevalence rate of less than 10% (17) and, since the efficacy of single doses of 400 mg of albendazole is low (e.g., 24%) (9), the potential contribution to the postvaccination response of partial treatment is likely to be small.

Benzimidazole drugs such as albendazole have not been associated with immunopotentiating effects following the treatment of human helminth infections, in contrast to anthelmintic drugs such as ivermectin which may induce severe immunemediated adverse reactions following treatment (5), and are associated with enhanced posttreatment Th1 cytokine responses to parasite antigens (29, 30). The postvaccination increase in the CT-B-specific Th1 cytokine response that we observed is unlikely to be a consequence of albendazole-induced stimulation, because similar responses were observed in both treated and untreated (placebo or U.S. control) individuals, and ascaris-specific cytokine responses were not affected by albendazole treatment (Fig. 3). An increased IL-2 secretion in response to CT-B in the albendazole-treated group compared with the placebo-treated group is unlikely to be an artifact of albendazole treatment for the following reasons: (i) similar but greater responses were observed in the group of U.S. controls who did not receive albendazole and (ii) the first and second doses of albendazole, administered prior to vaccination, had no effect on IL-2 secretion to either CT-B or ascaris antigens.

The observation of a lack of effect of curative chemotherapy with albendazole on the cytokine response to ascaris antigens is of interest. As discussed above, the treatment of tissue helminth infections such as filariae is associated with a change in both the magnitude and phenotype of the parasite-specific cytokine response (29, 30). These changes relate to the destruction of parasite larvae, which are the primary targets of the host immune response (23). It is probable that larval antigens are the primary target of host immunity in _A. lumbricoides_ infection also, and uninterrupted immune stimulation as a result of continuous exposure to infective eggs in an environment where the organism is endemic may explain the lack of treatment effect on either the magnitude or the phenotype of host immunity despite successful expulsion of adult parasites.

Controversy exists over the nature of the immune response to cholera toxin and its subunit constituents. Research in murine models has consistently shown that oral immunization with CT or CT-B induces a local mucosal and systemic immune response characterized by the predominant production of Th2 cytokines (IL-4 and IL-5) and little or no production of Th1 cytokines (IFN-γ and IL-2) (35, 37, 38), although a few studies have shown either a mixed Th1-Th2 response or strong Th1 (IFN-γ) responses (11, 34). Few human studies have been performed on the cellular response to CT or CT-B following oral immunization (4, 18, 27), and none have examined the human cellular immune response to CVD 103-HgR. The only study to examine cytokine responses following vaccination of healthy volunteers with an oral combined CT-B-whole-cell cholera vaccine was not able to detect IFN-γ in cultures from PBMC but did detect significant IFN-γ levels produced by monocytes that had been isolated from duodenal cellular suspensions (27). The latter study, which did not investigate Th2 cytokine production, examined IFN-γ responses 7 days postvaccination, which may have been too early to detect optimal postvaccination responses in circulating monocyctic cells, particularly since T-cell responses (measured as lymphocyte proliferation) tend to peak later (4, 18) (Fig. 1). In this study, in which both Th1 and Th2 cytokine production were investigated, we have been able to demonstrate that the postvaccination response to CT-B in subjects vaccinated with CVD 103-HgR is dominated by the production of the Th1 cytokines IFN-γ and IL-2.

Peripheral blood lymphocytes can be used to measure mucosal responses to oral vaccines because of the phenomenon of lymphocyte trafficking that occurs for a relatively brief period postvaccination and involves an expanded population of antigen-specific lymphocytes leaving mucosal lymphoid tissues to seed more extensively throughout the mucosa (13, 18). Our observations among the group of healthy controls provide evidence for trafficking of CT-B-specific B and T lymphocytes following vaccination with CVD 103-HgR (Fig. 1) and a sound justification for sampling at day 14 postvaccination to measure CT-B-specific cytokine responses in the Ecuador study group. A bimodal distribution of T-cell reactivity to CT-B was observed in the group of healthy controls and has been reported by others (4), although cytokine (IL-2 and IFN-γ) and IgG ASC responses peaked only at 14 days.

Previous studies have attributed the poor immunogenicity of CVD 103-HgR (at a dose of 5 × 10⁸ CFU) in poor populations in developing countries compared with wealthier populations in the same or industrialized countries to the inhibitory effect of prior or active mucosal immunity on the induction of a measurable systemic response (20, 31, 32) and to small-bowel bacterial overgrowth (15) that may interfere with vaccine replication and/or vaccine attachment to the mucosal surface. In addition, we have demonstrated in a younger study group infected with _A. lumbricoides_ from the same study area that concurrent ascariasis impairs the vibriocidal antibody response to CVD 103-HgR and that pretreatment with albendazole before vaccination results in an improved seroconversion rate (7). The results of the present study provide evidence for a role of ascarisiasis-associated suppression of Th1 immune responses to CT-B, an important antigenic component of the vaccine. The effect of such an alteration of the cytokine response to CT-B on antitoxic immunity is not clear and requires further investigation.

In conclusion, we have demonstrated that human vaccination with CVD 103-HgR is associated with a postvaccination cytokine response characterized by an elevation of the Th1 cytokines IL-2 and IFN-γ. _A. lumbricoides_-infected subjects who were pretreated with albendazole prior to vaccination produced a similar Th1-predominated response, while infected subjects who received placebo treatment before vaccination demonstrated a depressed IL-2 response. These results indicate that concurrent ascariasis may modulate the immune response to nonparasite or heterologous antigens by suppression of IL-2 and provide further support for an immunomodulatory role of concurrent helminth infections on immune responses to heterologous antigens. Since geohelminth parasites are ubiquitous in poorer regions of the world, the potential for such infections to alter the immune responses to orally administered vaccines and affect vaccine immunogenicity has important public health implications.
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