Cigarette Smoke Exposure Impairs Pulmonary Bacterial Clearance and Alveolar Macrophage Complement-Mediated Phagocytosis of *Streptococcus pneumoniae*

John C. Phipps,1,2 David M. Aronoff,3 Jeffrey L. Curtis,4 Deepti Goel,2 Edmund O’Brien,1,2 and Peter Mancuso2*

Program in Toxicology1 and Department of Environmental Health Sciences,2 School of Public Health, and Divisions of Infectious Diseases3 and Pulmonary and Critical Care Medicine,4 Department of Internal Medicine, University of Michigan Health System, Ann Arbor, Michigan

Received 21 August 2009/Returned for modification 1 October 2009/Accepted 2 December 2009

Cigarette smoke exposure increases the risk of pulmonary and invasive infections caused by *Streptococcus pneumoniae*, the most commonly isolated organism from patients with community-acquired pneumonia. Despite this association, the mechanisms by which cigarette smoke exposure diminishes host defense against *S. pneumoniae* infections are poorly understood. In this study, we compared the responses of BALB/c mice following an intratracheal challenge with *S. pneumoniae* after 5 weeks of exposure to room air or cigarette smoke in a whole-body exposure chamber *in vivo* and the effects of cigarette smoke on alveolar macrophage phagocytosis of *S. pneumoniae* *in vitro*. Bacterial burdens in cigarette smoke-exposed mice were increased at 24 and 48 h postinfection, and this was accompanied by a more pronounced clinical appearance of illness, hypothermia, and increased lung homogenate cytokines interleukin-1β (IL-1β), IL-6, IL-10, and tumor necrosis factor alpha (TNF-α). We also found greater numbers of neutrophils in bronchoalveolar lavage fluid recovered from cigarette smoke-exposed mice following a challenge with heat-killed *S. pneumoniae*. Interestingly, overnight culture of alveolar macrophages with 1% cigarette smoke extract, a level that did not affect alveolar macrophage viability, reduced complement-mediated phagocytosis of *S. pneumoniae*, while the ingestion of unopsonized bacteria or IgG-coated microspheres was not affected. This murine model provides robust additional support to the hypothesis that cigarette smoke exposure increases the risk of pneumococcal pneumonia and defines a novel cellular mechanism to help explain this immunosuppressive effect.

Pneumococcal pneumonia, caused by the Gram-positive pathogen *Streptococcus pneumoniae*, is the most common form of community-acquired pneumonia in the United States and worldwide (24, 26). This organism can disseminate from the respiratory tract and is the leading cause of death from invasive bacterial infections, with antibiotic-resistant strains becoming increasingly more common (18, 26). Cigarette smoke (CS) exposure increases the risk of serious pneumococcal infections in humans (2, 29), although the mechanisms underlying this effect are not known. Consistent with increased risks of many infectious diseases among smokers (3), animal models have been used to demonstrate impairments in host defense against viral (13, 33), fungal (8), and bacterial (11) infections in smoke-exposed animals. To our knowledge, no reports exist which demonstrate the effects of CS exposure on host defense in a murine model of pneumococcal pneumonia, despite the clinical significance of this pathogen.

The alveolar macrophage (AM) is a specifically differentiated resident phagocyte in the pulmonary alveoli that acts to maintain an environment free of pathogens and debris (27).

Under normal conditions, AMs constitute the majority of immune cells within the alveolar space and act as a first line of innate host defense in the lung, using an array of receptors to recognize pathogen-associated molecular patterns (PAMPs) and to facilitate phagocytic uptake (36). Normally, AM function is tightly regulated to prevent inappropriate inflammation that could result in lung damage (1), but under conditions which overwhelm their clearance capacity, AMs play additional roles in the generation and subsequent resolution of inflammation and leukocyte recruitment (28, 37). Murine models of pulmonary pneumococcal infection have shown increased mortality (22) and bacterial burden (10) following AM depletion, indicating their importance in the innate host defense against such infections. Phagocytosis of *S. pneumoniae* is enhanced following opsonization with complement fragments C3b and C3bi, which adhere to the surfaces of bacteria. The critical importance of C3 in this context was recently demonstrated by studies reporting defects in host defense against pneumococcal pneumonia (19, 34).

The increased susceptibility of smokers to pneumococcal pneumonia is incompletely understood, and no reports to date have assessed the effects of CS exposure on AM phagocytosis of pneumococcus, although many studies have demonstrated impairments in phagocytosis of other targets (15, 16, 21, 30, 31). Therefore, we determined the effects of CS exposure on pulmonary host defense against pneumococcal pneumonia in a
mice were induced in mice by administering 1

RESULTS

Cigarette smoke exposure impairs pulmonary S. pneumoniae clearance and produces a more severe illness. To determine if CS exposure impairs bacterial clearance, animals were exposed to CS or room air (control) for 5 weeks prior to S. pneumoniae challenge. In comparison with mice exposed to room air, bacterial burdens were approximately 4-fold and 35-fold higher in the CS-exposed animals at 24 and 48 h postinfection, respectively (Fig. 1). We did not observe splenic bacterial burdens at either of these time points. To assess morbidity during the course of pneumococcal pneumonia, we evaluated the clinical appearance and core body temperature following S. pneumoniae challenge, as previously described (11). Prior to infection, we did not observe differences in the clinical appearance of these animals. However, the appearance of the CS-exposed animals deteriorated after infection (Fig. 1B). We also observed that this group had significantly reduced core body temperatures at 24 h postinfection, which was consistent with the higher bacterial burdens at this time point (Fig. 1C). While there was a trend toward lower temperatures at 48 h in CS-exposed animals, this difference did not reach statistical significance. However, there was no difference in survival between room air-exposed (53%) and CS-exposed (60%) mice 10 days following S. pneumoniae challenge (data not shown).

**CS exposure enhances pulmonary cytokine production post-S. pneumoniae challenge.** We next measured cytokine levels in lung homogenates in order to determine if the CS-induced impairment in pulmonary clearance of S. pneumoniae was associated with alterations in inflammatory mediator production (Fig. 2). While we observed higher levels of IL-1β in CS-exposed mice 24 h after infection, there were no differences in any other cytokines at this time point. However, at 48 h postinfection, higher levels of IL-1β, IL-6, IL-10, MIP-2 (although not statistically significant), and TNF-α were observed in CS-exposed mice, confirming a more severe infection in these animals. There were no differences in levels of TGF-β at any time point (data not shown).

Impaired S. pneumoniae clearance in CS-exposed animals is not associated with reduced lung leukocyte number or viability. To determine whether the impairments in bacterial clearance were due to CS-mediated deficits in lung leukocytes, we assessed total and differential cell counts and the viability of cells recovered from BAL fluid following 5 weeks of room air exposure.
or CS exposure. As shown in Fig. 3A, AMs constituted >95% of the recovered leukocytes in both groups of animals, and we observed that the number of resident macrophages in the CS-exposed group was approximately 40% higher (but not statistically significant) than that in the room air-exposed group. In addition, the viability of these cells, as determined by XTT assay, did not differ (data not shown).

Since leukocyte recruitment to the lungs following pulmonary pneumococcal infection is typically rapid and robust (7), we compared pulmonary leukocyte recruitment in room air- and CS-exposed animals in response to pneumococcal challenge. In the infection model described above, the higher bacterial burdens among the smoke-exposed animals created an unequal challenge at the measured time points with regard to the number of bacteria in the lungs. Therefore, to create conditions of similar challenges, CS- and room air-exposed animals were subsequently given 10^6 CFU of heat-killed rather than viable S. pneumoniae organisms. While there were no differences in lymphocyte or monocyte/macrophage counts, we observed greater numbers of polymorphonuclear leukocytes (PMNs) in CS-exposed mice 24 and 48 h after intratracheal challenge with heat-killed S. pneumoniae (Fig. 3B and C). These data suggest that CS exposure does not impair bacterial clearance by reducing pulmonary leukocyte recruitment.

**Reduced IL-1β, IL-10, and TNF-α production in CS-exposed mice following intratracheal challenge with heat-killed S. pneumoniae.** Since the elevated levels of cytokines observed in CS-exposed mice following infection with live S. pneumoniae may reflect higher bacterial burdens rather than differences in the capacity for cytokine production, we also measured cytokines in lung homogenates from room air- and CS-exposed animals following intratracheal challenge with heat-killed bacteria. Although we found no differences in any of the cytokines evaluated 24 h after the instillation of heat-killed S. pneumoniae (Fig. 4), there were modest reductions in IL-1β, IL-10, and TNF-α at 48 h. There were no differences in MCP-1 or TGF-β (data not shown). These data suggest that CS exposure induced a modest suppressive effect on pulmonary cytokine production following the instillation of equal numbers of heat-killed bacteria.

**Impaired C3-mediated phagocytosis of S. pneumoniae in AMs treated with CSE.** Since alterations in cytokine production and cellular recruitment did not explain the CS-induced impairment in pulmonary bacterial clearance, we assessed the effects of CSE on the phagocytic capacity of AMs in vitro. As shown in Fig. 5, we observed that CSE reduced AM phagocytosis of serum-opsonized S. pneumoniae, by approximately 40%. To determine the nature of the phagocytic impairment caused by CSE, we assessed phagocytosis of bacteria opsonized with heat-treated serum (to destroy complement), those opsonized with C3-deficient serum, unopsonized bacteria, and IgG-coated microspheres. AM phagocytosis of unopsonized S. pneumoniae or S. pneumoniae opsonized with serum lacking C3 was not impaired. Similar responses were observed using

---

**FIG. 1.** Cigarette smoke exposure increases pulmonary bacterial burden and worsens clinical signs of pneumococcal pneumonia. Female BALB/c mice were exposed to room air (open bars) or cigarette smoke (CS) (solid bars) for 5 weeks, followed by an intratracheal challenge with live S. pneumoniae (10^6 CFU). (A) Lung homogenates were assessed for bacterial burdens at 24 and 48 h postinfection. (B) The clinical appearance was evaluated 6 h after infection and every 12 h thereafter, as described in Materials and Methods. (C) Core temperatures were taken at the time of euthanasia, and data are presented as changes from baseline (core temperature of mice that were not infected). Bars represent means ± standard errors of the means (n = 7 or 8 mice per group). * P < 0.05 compared with air-exposed mice at the same time point, by ANOVA (A and C) and the Kruskal-Wallis test (B).
live FITC-labeled \textit{S. pneumoniae} (data not shown). In addition, CSE did not affect Fc receptor (FcR)-mediated phagocytosis, since the uptake of IgG-labeled microspheres was not different from that of the control. These data suggest that cigarette smoke exposure disables phagocytosis of bacteria opsonized with complement fragments derived from C3 but not other targets.

**Impaired C3-mediated phagocytosis in AMs obtained from mice exposed to cigarette smoke for 4 h.** Since smoke exposure impaired phagocytosis after overnight culture with 1% CSE, we next asked if a brief exposure to cigarette smoke would impair complement-mediated phagocytosis of \textit{S. pneumoniae} in AMs. As shown in Fig. 5B, we observed that phagocytosis of \textit{S. pneumoniae} opsonized with normal rat serum but not C3-deficient serum was impaired in AMs obtained from mice exposed to cigarette smoke for 4 h. These results confirm our findings using CSE in vitro and suggest that cigarette smoke exposure in vivo reduces complement-mediated phagocytosis of bacteria.

**DISCUSSION**

Although the link between cigarette smoke exposure and susceptibility to bacterial pneumonia has been recognized for many years, the mechanisms underlying this association are poorly understood. In this report, we demonstrated that CS exposure substantially attenuated pulmonary pneumococcal clearance and produced more severe physiological signs of infection. In addition, the defect in pulmonary bacterial clearance following CS exposure was associated with elevated lung cytokines (IL-1\beta, IL-6, IL-10, and TNF-\alpha) and a reduction in AM complement-mediated phagocytosis of \textit{S. pneumoniae} in vitro. These results provide new insight into the mechanisms by which CS exposure compromises pulmonary host defense against pneumococcal infections.

The observed defect in pneumococcal clearance in CS-exposed animals was not due to decreased numbers or viability of resident leukocytes prior to infection. On the contrary, there was a trend toward more macrophages in the lungs of CS-exposed mice. While this trend did not reach statistical significance using our sample size, elevated AM numbers have also been reported for human smokers (23) and for mice following a longer duration of CS exposure (14). Therefore, it is likely that the increased numbers of macrophages observed in our model represent an early stage in the accumulation of AMs. Additionally, under conditions of similar challenge using heat-killed pneumococcus, CS-exposed animals displayed no impairment in leukocyte recruitment postinfection. In contrast, CS exposure enhanced neutrophil recruitment, an observation consistent with other published murine models of pneumococcal pneumonia in which AM function was artificially impaired or the number of AMs was reduced experimentally (9, 22). The higher levels of PMNs in CS-exposed mice challenged with heat-killed \textit{S. pneumoniae} may indicate a reduction in apoptosis of these cells, since levels of MIP-2, a neutrophil chemoattractant, were only slightly (not significantly) elevated. Despite the CS-associated increase in the number of AMs, which has also been reported to be as much as sixfold higher in smokers, we and others have observed increased susceptibility to pulmonary infections (12).
It is relevant that proinflammatory cytokines such as TNF-α, IL-1β, and IL-6 have been shown to be important in host defense against pneumococcal infection (17, 30, 38). Despite the fact that we observed elevated levels of these proinflammatory cytokines, pulmonary pneumococcal clearance was diminished in CS-exposed mice challenged with live \textit{S. pneumoniae}. This observation is consistent with the case for smokers with chronic obstructive pulmonary disease (COPD), who exhibit enhanced pulmonary inflammation and increased susceptibility to pulmonary bacterial infections, and a report by Drannik et al., who also observed elevated pulmonary cytokines in CS-exposed mice following the induction of \textit{P. aeruginosa} pneumonia (11). The increased pulmonary cytokine levels we observed may also have contributed to the higher clinical appearance scores and hypothermia observed in

**FIG. 3.** Elevated neutrophil counts in cigarette smoke-exposed mice following intratracheal challenge with heat-killed \textit{S. pneumoniae}. Leukocytes were obtained by bronchoalveolar lavage from mice exposed to room air (open bars) or cigarette smoke (CS) (solid bars) for 5 weeks, with no subsequent challenge (A) or 24 h (B) or 48 h (C) following an intratracheal challenge with heat-killed \textit{S. pneumoniae} (10^6 CFU-equivalent dose). Differential counts were calculated by multiplying total cell counts by the percentages of lymphocytes (Lymph), neutrophils (PMN), and monocytes/macrophages (Mono/Mac) following differential staining. Bars represent means ± standard errors of the means (n = 4 to 6 mice per group for panel A and 7 or 8 mice per group for panels B and C). *, P < 0.05 by paired t test.

**FIG. 4.** Cytokine levels in lung homogenates obtained from mice following intratracheal challenge with heat-killed \textit{S. pneumoniae}. Female BALB/c mice were exposed to room air (open bars) or cigarette smoke (CS) (solid bars) for 5 weeks, followed by an intratracheal challenge with \textit{S. pneumoniae} (10^6 CFU). Lung homogenates were assessed for IL-1β, IL-6, IL-10, MIP-2, and TNF-α as described in Materials and Methods. *, P < 0.05 versus air-exposed mice, by t test.
FIG. 5. Cigarette smoke extract impairs complement-mediated phagocytosis of *S. pneumoniae* by AMs in vitro. (A) AMs were cultured overnight with medium alone (control) (open bar) or with medium containing 1% CSE (solid bars), and phagocytosis of *S. pneumoniae* opsonized with normal rat serum (NS), heat-inactivated rat serum (HIS), C3-deficient serum (C3-DS), or no serum (UNOP) or of IgG-coated microspheres (IgG-MS) was assessed as described in Materials and Methods. (B) Female BALB/c mice were exposed to room air (open bars) or cigarette smoke (CS) (solid bars) for 4 h, and AMs were recovered by lavage and cultured overnight. On the following day, phagocytosis of *S. pneumoniae* opsonized with normal rat serum (NS) or C3-deficient serum (C3-DS) was assessed. Data were normalized to their respective controls. Bars represent the means ± SEM for three to five experiments. *, *P < 0.05* compared with control AMs, by ANOVA.

CS-exposed mice infected with live *S. pneumoniae*. The enhancement of cytokine production in the present study was most likely due to a delay in pulmonary bacterial clearance, since we observed lower levels of these cytokines in CS-exposed animals following intratracheal challenge with heat-killed *S. pneumoniae*.

Since AMs play an essential role in the orchestration of pulmonary bacterial host defense, we examined the ability of AMs to phagocytose *S. pneumoniae* following treatment with CSE in vitro. In these experiments, we isolated AMs from mice and treated them overnight with 1% CSE, a level that did not affect cell viability (data not shown). This approach was used in order to observe the direct effects of CSE on AM phagocytic function, since CS exposure modifies extracellular matrix proteins in the lung which are known to suppress AM phagocytic function (21). It is worth mentioning that in experiments run side by side on the same microplate, opsonization with normal rat serum produced roughly twice the level of phagocytosis seen with either form of complement-depleted serum (data not shown), affirming the importance of complement in our system. Our observation that CSE affected complement-mediated phagocytosis but not phagocytosis of IgG-coated beads (FcR-mediated phagocytosis) or bacteria without functional C3 implies that the impairment was not a generalized effect, as might be seen with disruption of cytoskeletal function or membrane trafficking. In addition, when these experiments were conducted using unopsonized pneumococcus, no differences were observed between CSE-pretreated and control AMs. The fact that we observed an impairment of complement-mediated phagocytosis of *S. pneumoniae* in AMs obtained from mice exposed to cigarette smoke in vivo substantiates these conclusions.

Although AM phagocytosis of unopsonized pneumococcus can occur through receptors such as scavenger receptor A (SR-A) and macrophage receptor with collagenous structure (MARCO) (5, 6), it is inefficient, and multiple lines of evidence support the importance of complement in antipneumococcal innate host defense. For example, genetic defects in C3 are associated with susceptibility to pneumococcal infections in humans (34) and mice (19), and mutant strains of pneumococcus lacking the anticomplement factors pneumococcal surface protein A and pneumolysin display reduced virulence in wild-type mice but not C3 knockout mice (39). Neither of these reports specifically addresses the role of AMs in this effect. However, increased bacterial burdens were seen in C3-deficient mice within 1 h of infection, arguing against a major role for recruited cells such as neutrophils (39). It is worth noting that the study by Kerr et al. (19) employed the use of a large inoculum (10⁶ CFU) given via the intranasal route to demonstrate that C3 plays an essential role in pulmonary bacterial clearance of *S. pneumoniae*. In our experiments, a relatively low inoculum (10⁴ CFU) of *S. pneumoniae* was administered to BALB/c mice via the intratracheal route after smoke exposure. We used this low dose of bacteria because BALB/c mice are very susceptible to lethality from *S. pneumoniae*, and in humans, pneumococcal pneumonia can result from a low inoculum of bacteria that is aspirated from the nasopharynx. The intratracheal route of bacterial challenge was employed in our studies because smoke exposure can induce nasopharyngeal inflammation that might complicate the delivery of *S. pneumoniae* to mice via the intranasal route. While smoke exposure may have many different immunosuppressive effects, our study suggests that smoking impairs complement-mediated pulmonary clearance of *S. pneumoniae*.

Our data establish a role for complement in CSE-mediated impairment of AM phagocytosis of pneumococcus, which is a novel finding. In interpreting the relevance of these *in vitro* data to the living animal, it bears consideration that opsonization of the phagocytic targets was carried out in isolation from either cells or CS constituents, and thus could not be affected by CSE treatment. However, *in vivo*, opsonization occurs in the same pulmonary milieu, and CS is known to directly cleave C3 (20, 32, 35), suggesting that complement-mediated effects may be more pronounced at the organ level.

In summary, we have demonstrated that CS exposure impairs pulmonary clearance of *S. pneumoniae* and that this defect is associated with reduced complement-mediated phagocytosis of this organism by AMs treated with CSE *in vitro*. Given the important role played by AMs in maintaining a pathogen-free alveolar environment, the functional defects we
describe here may well contribute to increased pneumococcal susceptibility in CS-exposed humans.

ACKNOWLEDGMENTS

This work was supported by grants HL077417 (P.M.), HL082480 (J.L.C.), and HL078727 (D.M.A.) from the NIH and by the University of Michigan Tobacco Research Network (P.M.). Support for J.C.P. was provided by grant T32ES007062.

REFERENCES

5. Arredouani, M., Z. Yang, Y. Y. Ning, G. Qin, R. Soininen, K. Tryggvason, S. Pedrera, C. Barriga, and A. Ro-

748–755.

Editor: J. N. Weiser