Sublingual immunization protects against *Helicobacter pylori* infection and induces T and B cell responses in the stomach.

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**Abbreviations:** CMLN, cervicomandibular lymph nodes; CT, cholera toxin; IG, intragastric; IP-10, 10 kDa interferon-gamma-induced protein; MEC, mucosae-associated epithelial chemokine; MLN, mesenteric lymph nodes; MAdCAM-1, mucosal addressin cell adhesion molecule; SL, sublingual

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ABSTRACT

Sublingual (SL) immunization has been described as an effective novel way to induce mucosal immune responses in the respiratory and genital tracts. We examined the potential of SL immunization against *Helicobacter pylori* to stimulate immune responses in the gastrointestinal mucosa and protect against *H. pylori* infection. Mice received two SL immunizations with *H. pylori* lysate antigens and cholera toxin as an adjuvant and after challenge with live *H. pylori* bacteria, their immune responses and protection were evaluated as were immune responses prior to challenge. SL immunization induced enhanced proliferative responses to *H. pylori* antigens in cervicomandibular lymph nodes and provided at least the same level of immune responses and protection as corresponding intragastric immunization. Protection in SL immunized mice was associated with strong *H. pylori*-specific serum IgG and IgA antibody responses in the stomach and intestine, strong proliferation and IFN-γ and IL-17 production by spleen and mesenteric lymph node T cells stimulated with *H. pylori* antigens in vitro, and with increased IFN-γ and IL-17 gene expression in the stomach compared to infected unimmunized mice. Immunohistochemical studies showed enhanced infiltration of CD4⁺ T cells and CD19⁺ B cells into the *H. pylori*-infected stomach mucosa of SL immunized but not unimmunized *H. pylori* infected mice that coincided with increased expression of the mucosal addressin cell adhesion molecule (MAdCAM-1) and T and B cell attracting chemokines CXCL10 and CCL28. We conclude that, in mice, SL immunization can effectively induce protection against *H. pylori* infection in association with strong T and B cell infiltration into the stomach.
INTRODUCTION

At least half of the world’s population is infected with *Helicobacter pylori*, one of the few microorganisms known to be able to colonize the human stomach. In 10-15% of infected individuals, chronic *H. pylori* infection causes duodenal ulcers, and infection with *H. pylori* has been shown to be a strong risk factor for the development of gastric adenocarcinoma and malignant mucosa-associated lymphomas (3, 17, 20). Although treatment with a combination of antibiotics and a proton pump inhibitor is usually effective in individual cases, limited treatment compliance, rapidly emerging antibiotic resistance, and frequent re-infection with *H. pylori* in highly endemic countries make vaccination an increasingly attractive alternative or complement to standard therapy.

Vaccination, given either preventively or therapeutically, is especially needed in countries with a high incidence of gastric cancer (20), re-infection (22), or antibiotic resistance. However, clinical trials of various oral or parenteral *H. pylori* vaccine candidates have not shown much promise to date, pointing to the need for identifying improved antigen-adjuvant formulations and/or alternative routes of immunization in the quest for an effective vaccine against *H. pylori* (33).

The importance of cell-mediated mucosal immunity in protection against experimental *H. pylori* infection after vaccination is well established (1, 9, 10, 23, 35). In most studies, intragastric (IG) immunization has been used to achieve efficient stimulation of the gastrointestinal immune response. However, this route usually requires large amounts of antigen for efficient immunization and the environment in the stomach and intestine may
have adverse effects on the antigens and adjuvants used. Intranasal immunization against

*H. pylori* has also been used in mice, but studies in humans have indicated that the nasal
route of immunization is ineffective in stimulating immune responses in the intestine or
stomach (12). In addition, intranasal immunization is associated with a risk of
translocation of some types of antigens or adjuvants to the olfactory bulb of the brain,
restricting its applicability in humans (31, 34).

Sublingual (SL) immunization has recently emerged as an attractive novel approach for
mucosal vaccination against pathogens (7, 8, 31). In a model of influenza virus infection,
SL immunization with live or adjuvanted killed virus induced immune responses and
protection against aerosol challenge with live virus. In contrast to intranasal
immunization, SL immunization had no evidence of vaccine or adjuvant entering the
brain (31). In another study, SL immunization was found to induce vaccine-specific
antibody and T-cell responses in the genital tract and, after SL immunization with human
papillomavirus (HPV)-like particles, protection against genital HPV infection, indicating
the potential of SL immunization to stimulate immune responses also in non-respiratory
mucosal tissues (7).

In the present study, we examined whether SL immunization in mice can induce a
mucosal immune response in the gastrointestinal tract. More specifically, we addressed
the potential of SL immunization with *H. pylori* antigen and cholera toxin (CT) adjuvant
to stimulate T and B cell responses in the stomach and protect against *H. pylori* infection.
Our findings demonstrate that SL immunization induces strong systemic and stomach
mucosal antibody and T cell responses and high level of protection against *H. pylori* challenge. After SL immunization and *H. pylori* challenge, the stomach mucosa showed infiltration of both CD4<sup>+</sup> T cells and CD19<sup>+</sup> B cells and increased expression of IFN-γ and IL-17 compared to unimmunized infected mice. This was associated with increased expression of both MAdCAM-1 integrin and chemokines CCL28 (MEC) and CXCL10 (IP-10) in the immunized mice, which probably facilitated the migration of immunization-induced CD4<sup>+</sup> T cells and CD19<sup>+</sup> B cells into the stomach mucosa. Our results indicate that SL immunization against *H. pylori* effectively induces a strong immune response in the gastrointestinal tract mucosa and protects against infection, providing an attractive novel way of vaccinating against *H. pylori* infection.
MATERIALS AND METHODS

Animals

Six to eight-week-old, specific pathogen-free, female C57BL/6 mice were purchased from Harlan Laboratories (Horst, the Netherlands). The mice were housed in microisolators at the Laboratory for Experimental Biomedicine (EBM) for the duration of the study. All experiments were approved by the ethical committee for animal experiments (Gothenburg, Sweden).

Cultivation of H. pylori used for infection

The mouse-adapted H. pylori SS1 strain stored at -70°C as aliquots in Luria Bertani medium containing 20% glycerol was used as the stock culture for all experiments. The bacteria were grown for 3 days on Columbia iso-agar plates and further cultured overnight in Brucella broth (Becton Dickenson Biosciences (BD), San Diego, CA, USA) under microaerophilic conditions. Before infecting mice, the optical density (OD) of the bacteria was adjusted to 1.5, corresponding to approximately 1x10⁹ viable bacteria/ml (28).

Antigen preparation and adjuvant for immunization

The lysate antigens of H. pylori bacteria strain Hel 305 (CagA⁺, VacA⁺), which was originally isolated from a patient with a duodenal ulcer, was prepared as previously described (23). The protein content of the lysate antigens was measured using the Non-Interfering Protein Assay Kit (Calbiochem, San Diego, CA, USA). The prepared antigen was stored in aliquots at -70°C until further use. Aliquots for use in SL immunizations
were freeze dried and reconstituted to a protein concentration of 50 mg/ml to reduce the volume used in immunization. Lyophilized CT from *Vibrio cholerae* (Sigma Aldrich, St. Louis, MO, USA) was reconstituted in distilled water to a concentration of 1 mg/ml and stored in aliquots at -70°C until use.

**Immunization and infection with *H. pylori***

Mice were given two immunizations containing 500 µg *H. pylori* lysate antigens and 10 µg CT. Immunizations were administered at a 2-week interval under deep anesthesia (isoflurane; Abbott Scandinavia AB, Solna, Sweden) by either the SL route (n=17 mice), depositing 10 µl of lyophilized *H. pylori* lysate antigens reconstituted in CT without bicarbonate buffer through a micropipette under the tongue, or the intragastric (IG) route (n=13 mice), using a feeding needle for placing 300 µl of *H. pylori* lysate antigens and CT in 3% sodium bicarbonate buffer directly into the stomach. One week after the last immunization, the mice were challenged with 3x10^8 live *H. pylori* SS1 bacteria in 300 µl Brucella broth administered IG using a feeding needle under anesthesia corresponding to approximately 100 times the minimal effective dose of colonization. Animals were sacrificed 4 weeks after challenge and the numbers of *H. pylori* bacteria in the stomach were determined. A reduction in bacterial counts in immunized compared to unimmunized mice was used as a measure of protection (23). Protection factors were calculated as the ratio of the geometric mean number of bacteria in infected control mice to the number of bacteria in individual vaccinated mice (28). We have previously shown comparable protection against *H. pylori* SS1 infection when immunizing IG with CT adjuvanted *H. pylori* lysate antigens from strain Hel 305 or SS1 (27).
**FTY720 treatment**

To prevent the egress of T and B cells from lymph nodes (18), mice were injected intraperitoneally with 1µg of FTY720 per gram of body weight (Cayman Chemicals, Michigan, USA) in a final volume of 250µl 3 hours prior to immunization and thereafter every day for 5 days. At the time of sacrifice mononuclear cells were isolated from the blood, stained for CD3 and CD19 (BD Biosciences) and examined by flow cytometry to confirm block of egress of lymph node T and B cells by FTY720.

**Quantitative culture of *H. pylori* SS1 from the stomach**

To evaluate bacterial colonization in the stomach of the sacrificed animals, one half of each stomach was homogenized in Brucella broth using a tissue homogenizer (Ultra Turrax; IKA Laboratory Technologies, Staufen, Germany). Serial dilutions of the homogenates were plated on *Helicobacter*-selective plates. After 7 days of incubation at 37°C under microaerophilic conditions, visible colonies with typical *H. pylori* morphology were counted, and the urease test was performed for any uncertain colonies. Plates with 10-100 colonies were used for calculating the number of bacteria per stomach by multiplying by the appropriate dilution factor (23).

**Serum antibody responses**

Blood was collected from the axillary plexus immediately before the mice were killed. Serum antibody titers were determined by ELISA against a membrane antigen preparation of *H. pylori* strain Hel 305 (MP Hel 305), which was coated overnight at room temperature. Levels of IgG antibodies were measured by testing serial dilutions of
1:100 pre-diluted sera followed by detection of bound specific IgG antibodies with horseradish peroxidase (HRP)-coupled goat anti-mouse IgG (Jackson Immuno Research, West Grove, PA, USA) secondary antibody and subsequent reaction with substrate o-Phenylenediamine dihydrochloride (OPD) added together with H₂O₂ and incubated at room temperature for 30 min. The enzymatic reaction was then stopped by adding 1M sulfuric acid, and the absorbance at 490 nm was then measured in a spectrophotometer. The antibody titers are defined as the reciprocal serum dilution giving an absorbance of 0.4 above the background.

*Mucosal IgA antibody responses*

Locally produced IgA antibodies in the stomach and intestinal tissues were determined using the Perfext method (36). Briefly, after sacrifice mice were extensively perfused with heparinized PBS to remove blood from the organs. Tissues were extracted from the stomach and small intestines (a 5 cm intestinal segment approximately 2-7 cm below the pylorus) using a 2% saponin-PBS solution as previously described in detail (23). Anti-*H. pylori* IgA antibodies in the supernatant of the saponin extracts were determined by ELISA (23), using microtiter plates coated with MP Hel 305 and sequential incubations with (i) a three-fold dilution of saponin extract supernatant at room temperature for 90 min, (ii) an appropriate concentration of HRP-conjugated goat anti-mouse IgA (Southern Technology) at 4°C overnight, and (iii) OPD and H₂O₂ at room temperature for 30 min. The reaction was stopped by adding 1M sulfuric acid and the absorbance at 490 nm (A₄₉₀) was measured in a spectrophotometer.
Cellular immune responses

For the proliferation assay, lymphocyte suspensions were prepared from the cervicomandibulary lymph nodes (CMLN), spleen and mesenteric lymph nodes (MLN). Cells were seeded (2 x 10^5 cells per well) in the presence or absence of *H. pylori* strain Hel 305 lysate antigens (10 µg/ml and cultured for 96 hours in Iscove’s medium (Biochrome, Berlin, Germany) supplemented with 5% heat-inactivated fetal calf serum (Sigma), 50 µM 2-mercaptoethanol (Sigma), 1 mM L-glutamine (Biochrome), and 50 µg/ml gentamicin (Sigma) at 37°C in a 5% CO₂ atmosphere. Supernatants were collected and stored at -70°C for subsequent cytokine analysis. To determine proliferation, the cells were pulsed with 1 µCi of [³H] thymidine (Amersham Bioscience, Buckinghamshire, UK) for the last 6-8 hours of culture. The cellular DNA was collected with a cell harvester (Skatron) on glass fiber filters (Wallac) and assayed for [³H] incorporation using a liquid scintillation counter (Beckman, LKB, Bromma, Sweden). IL-17 was measured in culture supernatants using Duoset cytokine ELISA (R&D Systems, Abingdon, United Kingdom). IFN-γ was measured using the mouse Inflammation Cytometric Bead Array Kit (BD Biosciences) according to the manufacturer’s instructions.

RNA isolation

The stomach was excised and dissected along the greater curvature. Any loose stomach contents were removed by washing in PBS. Two longitudinal strips including the antrum and corpus were cut and placed directly into RNAlater (Qiagen, Hilden, Germany). The samples were kept at 4°C for 2 days and then stored at -70°C. For RNA isolation, the tissue was thawed and transferred to RLT lysis buffer (Qiagen) with 1% β-
mercaptoethanol and homogenized for 2 min at 30 Hz using Tissue Lyse II (Qiagen). Total RNA was extracted using the RNeasy Mini kit (Qiagen) and stored at -70°C until further analysis.

Real-time polymerase chain reaction (RT-PCR)

RNA (2 µg) was reversely transcribed into cDNA using the Omniscript kit (Qiagen). All real-time PCR reactions were run in 96-well plates using the standard amplification conditions described for the 7500 RT-PCR System and 9 µl cDNA, 10 µl 2x Power SYBR Green Master Mix (Applied Biosystems, Foster City, CA, USA), and 1 µl of gene-specific oligonucleotide primers (Eurofins MWG Operon, Ebersberg, Germany) (Table 1). The reactions were run in duplicate, and β-actin was used as the reference gene in all experiments. The difference between β-actin and the target gene (ΔCt) was determined, and the relative expression was calculated using the formula \(2^{\Delta\text{Ct}}\). The values were adjusted so that the median in the infection control group was set to 1. The negative control (lacking reverse transcriptase) giving the lowest C\(_T\) value was used to determine the detection limit.

Immunohistochemistry

Six-micrometer-thin sections of stomach tissue were fixed in acetone and stained using rat anti-mouse CD4 (Clone L3T4) CD8 (Clone Ly-3), MAdCAM-1 (clone MECA 367), CD19 (clone 1D3), or rat IgG2a isotype control antibodies (all from BD) as previously described (26). Sections were evaluated using the Biopix analysis software (BioPix AB, Gothenburg, Sweden) to calculate the percentage of tissue area staining positive for CD4.
CD8, CD19, or MAdCAM-1 in three to five independent fields at 400x (CD4, CD8 and CD19) or 200x (MAdCAM-1) magnification.

Statistical analysis

Analysis of variance (ANOVA) with Dunnette’s post-test was used to compare multiple groups while Student’s t-test was used to compare two groups (Graphpad Prism® software, Graphpad software Inc., San Diego, CA, USA). For all tests P<0.05 was considered to indicate statistical significance.
RESULTS

Sublingual immunization induces immune protection against *H. pylori* infection. The primary objectives of the study were to determine whether the stomach and the small intestine are part of the mucosal effector sites that can be targeted by SL immunization and evaluate the efficacy of SL immunization for inducing protection in the stomach against experimental *H. pylori* infection. To address these questions, we gave mice two SL immunizations two weeks apart with *H. pylori* lysate antigens and CT as adjuvant. A group of mice immunized IG at the same time with the identical antigen/adjuvant mixture as SL immunization (except given in bicarbonate to protect the CT adjuvant against degradation in the stomach) was also included in the study, as were groups of mice given two SL doses of the *H. pylori* lysate antigens alone or CT alone. One week after the last immunizations, the mice (also unimmunized) were challenged intragastrically with a high dose of live *H. pylori* bacteria. Four weeks after challenge animals were sacrificed and their numbers of *H. pylori* bacteria in the stomach were determined. SL immunizations with *H. pylori* lysate antigens and CT resulted in a significant reduction in the *H. pylori* load in the stomach (*p*<0.001) (Fig. 1). SL immunization with *H. pylori* antigen and CT tended to be even more effective than corresponding immunization by the IG route, resulting in an approximate 20-fold reduction of bacteria as compared to a 6-fold reduction after IG immunization (*p*=0.1475). Similar immunization with *H. pylori* lysate antigens alone or CT alone did not result in any detectable protection against *H. pylori* infection (Fig. 1 insert).
Sublingual immunization against H. pylori induces strong antibody responses in serum as well as in stomach and small intestinal mucosa. We determined IgG antibody responses to *H. pylori* in the serum, and mucosal IgA antibody responses in the stomach and small intestines after SL or IG immunization both before and after challenge with live *H. pylori* bacteria. In serum, SL immunization induced a stronger *H. pylori*-specific IgG antibody response than IG immunization before challenge (*p*<0.05) (Fig. 2A). After challenge with *H. pylori*, the serum IgG antibody response was then further increased after either route of immunization. Compared to the titers measured after infection in unimmunized mice, an approximately 50-fold increase in *H. pylori*-specific IgG antibodies was measured in the SL immunized mice (Fig. 2A).

Before immunization, mice had very low levels of *H. pylori*-reactive IgA antibodies in the mucosal tissues of the stomach (Fig. 2B) and small intestine (Fig. 2C). Both SL and IG immunization gave rise to significantly increased levels of *H. pylori*-specific local IgA antibodies in the stomach and intestinal mucosa before challenge with *H. pylori* bacteria, and these responses increased further after challenge (Fig. 2B and C). The IgA antibody levels to *H. pylori* in the stomach and intestine of immunized and infected mice were much higher than the undetectable (stomach) or low (intestine) IgA antibody responses induced by the *H. pylori* infection in unimmunized mice.

**SL immunization induces H. pylori-specific Th1 and Th17 responses.** CD4 T cells have been shown to be indispensable for protection against *H. pylori* after vaccination (10, 25). We therefore investigated the T cell proliferative immune responses in MLN and spleen after SL and IG immunization and the effects of subsequent *H. pylori* challenge on those
responses. When tested before infection, SL immunization induced a significant increase in the *H. pylori*-specific proliferative response of both MLN (*p*<0.05) and spleen cells (*p*<0.01) compared to unimmunized mice and these responses were substantially increased after challenge with *H. pylori* (Fig. 3A); similar responses although less influenced by the challenge were induced by IG immunization (Fig 3B). We also examined the production of IFN-γ and IL-17 from MLN and spleen cells stimulated with *H. pylori* antigens from SL immunized mice and found that both of these cytokines and especially IL-17 were increased after challenge, to levels much higher than in infected unimmunized mice (Fig. 3 C). Our results indicate that SL immunization induces a mixed Th1/Th17 response, with a trend towards production of higher amounts of IL-17 than of IFN-γ after challenge.

Cervicomandibular lymph nodes are the inductive site for immune responses to sublingual immunization. We wished to exclude the possibility that the induction of immune responses and protection against *H. pylori* infection seen after SL immunization was due to small amounts of antigen and CT being swallowed. Thus, we first studied the *H. pylori* specific proliferative responses in CMLN and MLN after SL immunization with *H. pylori* antigens with or without CT (Fig 4B) in mice treated with FTY720 to prevent exit of activated cells from lymph nodes after immunization (18); the effect of the FTY720 treatment was monitored by flow cytometry showing 90% reduced frequencies of T and B cells in circulation (Fig 4A). Single cell suspensions from CMLN and MLN were prepared 5 days post-immunization and re-stimulated in vitro with *H. pylori* antigen. The results show that SL immunization with lysate antigens and CT but not with
lysate antigens alone resulted in a strong proliferative response to *H. pylori* antigens in the CMLN but not in the MLN (Fig 4B) indicating that CMLN is the site of priming of *H. pylori* specific responses after SL immunization with only later dissemination to other lymphoid organs (30).

Second, we directly compared the level of protection after SL immunization with that obtained by administering the same antigen-adjuvant mixture in the posterior pharynx. The results are shown in Fig 4C and demonstrate that protection was much stronger (>20-fold) after SL immunization compared to that after pharyngeal immunization. We conclude that swallowed antigen and adjuvant cannot explain the strong immune protection seen against *H. pylori* after SL immunization.

Infiltration of CD19⁺ B cells and CD4⁺ T cells and expression of Th1 and Th17 cytokines in the stomach mucosa of SL immunized mice. Since the results described above indicate that SL immunization against *H. pylori* infection effectively induces protection and immune responses to at least the same magnitude as corresponding immunization by the IG route, our subsequent experiments were specifically focused on further analyzing the immune responses in the stomach after SL immunization.

Immunohistochemical staining for CD19⁺ B cells and CD4⁺ or CD8⁺ T cells was carried out on stomach tissue sections in order to define the subsets of cells migrating to the site of infection after SL immunization and challenge with live bacteria. The results showed an increase in both CD19⁺ B cells (Fig. 5 A and B) and CD4⁺ (Fig. 5 C and D), but not CD8⁺ (data not shown) T cells, in the gastric mucosa of SL immunized and infected
mice, whereas little or no cell infiltration was evident in SL immunized but not infected or infected but not immunized mice (Fig. 5A-D).

RT-PCR analysis was carried out to study the expression of CD4+ derived cytokines IFN-γ and IL-17 in the gastric mucosa of immunized and infected mice compared to unimmunized infection controls at the time of sacrifice. Our results showed significantly elevated levels of both IFN-γ (p<0.001) and IL-17 (p<0.01) transcripts in SL immunized mice compared to unimmunized infected mice, whereas the transcript levels in the stomach mucosa of mice that were SL immunized but not infected did not differ from the levels in naïve mice (Fig. 5E). Likewise, the expression of IFN-γ and IL-17 mRNA in the stomachs of mice immunized (ineffectively) with H. pylori lysate antigens without CT adjuvant or with CT without lysate antigens and then infected (Fig. 1 Insert) did not differ from the expression in naïve mice (data not shown).

MAdCAM-1 expression is strongly increased in the stomach of sublingually immunized and H. pylori infected mice. Since MAdCAM-1 is critical for the extravasation of gut homing activated T and B cells into the gastrointestinal mucosa (5) we were interested in determining using immunohistochemistry whether SL immunization could induce expression of this integrin in the stomach.

Naïve mice had a very low level of MAdCAM-1 expression in the stomach endothelium, which was significantly increased after infection with H. pylori (Fig. 6). In the absence of infection SL immunization tended to modestly stimulate MAdCAM-1 expression but not
to the same extent as in mice that were first immunized SL and then infected, in which the highest levels of MAdCAM-1 were found (Fig. 6B).

**SL immunization and H. pylori infection stimulated strong chemokine responses and their corresponding receptors on B and T cells.** Locally produced chemokines can attract T and B cells with cognate chemokine receptors to inflamed tissues. We analyzed the expression of chemokines CXCL10 (IP-10) and CCL28 (MEC), which are known to attract Th1 cells and IgA plasma blasts, respectively, and their corresponding receptors on the cells, CXCR3 and CCR10 (13). In contrast to the less restricted expression of MAdCAM-1 in relation to infection and immunization, the expression of the CXCL10 and CCL28 genes in the stomach mucosa was elevated only in SL immunized and infected mice, and the expression was closely matched by increased levels of their cognate receptors (Fig. 7A and B). A similar analysis of the gene expression of CCL25 (TECK, Thymus-Expressed Chemokine), a small intestine-specific chemokine known to attract CCR9⁺ B and T cells, and of CCR9, the chemokine receptor in the stomach, showed no difference between SL immunized infected mice and unimmunized infection controls (Fig 7C). Our findings indicate that SL immunization followed by *H. pylori* infection results in the specific up-regulation of CXCL10 and CCL28 in the stomach, which together with enhanced MAdCAM-1 expression could explain the strong attraction of both CXCR3⁺ T cells and CCR10⁺ B cells to the stomach mucosa in SL immunized and infected mice.
DISCUSSION

In this study, we show that the SL mucosa can be used as a novel site for effective vaccination against experimental *H. pylori* infection in mice. SL immunization with *H. pylori* antigens and CT as an adjuvant provided substantial protection against *H. pylori* infection in the stomach associated with strong *H. pylori*-specific T and B cell responses, both systemically and locally in the stomach. The mucosal immune response after SL immunization included *H. pylori*-specific IgA antibodies in the stomach and small intestine, production of IFN-γ and IL-17 cytokines by T cells in response to stimulation with *H. pylori* antigens, increased IFN-γ and IL-17 gene expression in the stomach mucosa, and enhanced local MAdCAM-1 protein expression and CCL28 (MEC) and CXCL10 (IP-10) gene expression in the stomach tissue. Together with the concomitantly increased gene expression of chemokine receptors CCR10 and CXCR3 in the stomach mucosa of SL immunized and infected mice, these findings indicate that infection with *H. pylori* facilitated the recruitment to the stomach of mucosa-homing lymphocytes induced by SL immunization. Our results expand upon previous reports that used SL immunization to induce immune responses in the respiratory and genital tracts (7, 8, 31) by showing that this novel route of mucosal immunization can also be used to induce strong immune responses and protection against infection in the mucosa of the gastrointestinal tract.

Previous studies using the mouse-adapted *H. pylori* SS1 strain as the challenge strain for testing the protection afforded by vaccine candidates and adjuvant formulations via the IG route usually achieved a 5-to 10-fold reduction in bacterial load (1, 23, 32). This
reduction is in near agreement with the 6-fold reduction measured in this study after IG immunization with *H. pylori* lysate antigens and CT adjuvant in bicarbonate buffer. The 20-fold reduction in bacterial load found after SL immunization with the same antigen and adjuvant combination, administered to fully anesthetized mice in a 10-microliter volume without any bicarbonate buffer, which is critical for protection after IG immunization (6) argues against the possibility that immune responses and protection against *H. pylori* infection after SL immunization was to any significant extent induced by swallowed antigen and adjuvant. This conclusion was further supported by directly comparing the levels of protection after SL immunization and corresponding administration of antigen and adjuvant in the posterior pharynx. The latter administration should increase the potential for swallowing, and still SL immunization induced a much stronger protection than the pharyngeal immunization.

Our studies have also indicated that after SL administration only 2% of radioactively labeled protein could be recovered from the stomach and intestine over the first 2 hours (unpublished results). Further, our results demonstrating that blocking lymphocyte egress from the lymph nodes results in a clear antigen specific T cell response in the CMLN with no response in the MLN 6 days after initial SL immunization with *H. pylori* lysate antigens and CT, confirm previous findings by Song et al. (30) that the CMLN draining the SL mucosa is the primary inductive site for the immune responses after SL immunization. These authors also showed that the activation of immune cells in the CMLN after SL immunization appears to specifically take place by MHCII-expressing dendritic cells, which up-regulate their expression of CCR7 after capturing antigen and
adjuvant in the SL mucosa and migrate towards CCL19 and CCL21 chemokines produced in the CMLN. Further dissemination of primed B and T cells then takes place from the CMLN into the circulation and to other lymphoid and mucosal tissues (8, 30).

Sublingual immunization with H. pylori lysate antigens and CT gave rise to consistently strong B cell responses, both systemically and in the gastrointestinal mucosa. The role of antibodies in protecting against H. pylori infection is not clear. Studies in knockout mice have shown that B cells and antibodies are dispensable for protection against H. pylori infection, in contrast to CD4+ T cells (2, 10). On the other hand, it was shown in a recent human birth cohort study in Bangladesh that infants feeding on breast milk containing high titers of H. pylori-specific IgA antibodies have significant delay in acquisition of H. pylori infection compared to infants feeding on low-titer breast milk, suggesting that IgA antibodies may play a role in the immune exclusion of bacteria at the site of infection (Bhuyian et al., Journal of Infectious Diseases, in press).

Strong H. pylori-specific T cell responses associated with IFN-γ and IL-17 production were seen in both MLN and the stomach mucosa of SL immunized and infected mice. Although Th1 cells secreting IFN-γ have long been regarded as the primary mediators of protection, our findings concur with recent reports indicating that Th17 cells also contribute to immune protection against H. pylori infection, possibly through IL-17 induced production of neutrophil chemoattractants (9, 35). Interestingly, we detected much stronger IFN-γ and IL-17 expression and a stronger local IgA antibody response in the stomach of SL immunized mice after compared to before H. pylori challenge,
suggesting that local inflammation induced by *H. pylori* infection promotes the infiltration of effector T and B cells into the gastric mucosa, and possibly also their antigen-driven local expansion, as previously indicated in oral vaccination studies in humans (19).

The dissemination of T and B cells to the gastrointestinal tract following oral or intestinal vaccination is thought to be specifically mediated through interactions between α4β7 integrin and its ligand MAdCAM-1, which is expressed on normal and inflamed intestinal endothelium (4). In the stomach of SL immunized and infected mice, significant increases in MAdCAM-1 and CD4+ T cells and CD19+ B cells were measured. Increased MAdCAM-1 expression and the accumulation of vaccine-specific IgA antibody-secreting cells were previously reported in the gastric mucosa of *H. pylori*-infected individuals given oral cholera vaccine (16). Therefore, *H. pylori*-specific α4β7+ T cells, as well as B cells, induced by SL immunization likely enter the stomach mucosa during infection by interacting with the elevated levels of MAdCAM-1 in SL immunized and infected mice. Once in the gastric tissue, these cells may play a role in controlling bacterial multiplication and pathogenicity (21).

In addition to α4β7-MAdCAM-1 interactions, the specificity of lymphocyte homing to gastrointestinal mucosa is governed by selective chemokine expression by epithelial cells lining the stomach, small intestine, and colon and the expression of their corresponding receptors on the lymphocytes (13). Recent studies in mice and humans have identified a role for the interaction between the chemokine CXCL10 (IP-10) and chemokine receptor
CXCR3 in the specific migration of polarized Th1 CD4^+ T cells to inflamed intestinal tissue (24, 29) and a similar role for the interaction between CCL28 (MEC) and CCR10 in attracting IgA^+ plasmablasts to the bronchi, colon, salivary glands, and mammary glands (15). In SL immunized mice infected with H. pylori, we measured using RT-PCR an increase in the expression of the CXCL10, CXCR3, CCL28, and CCR10 genes in the stomach, whereas expression of the small intestine-specific B and T cell chemoattractant CCL25 (TECK) and its receptor CCR9 (14) was not changed. Thus, our results suggest that, in SL immunized and H. pylori infected mice, CXCR3-expressing Th1 cells and CCR10-expressing IgA^+ plasmablasts enter the stomach in response to local production of CXCL10 and CCL28, respectively, in the stomach mucosa. In humans, increased expression of CCL28, but not CCL25 was recently reported in H. pylori-infected individuals compared to uninfected individuals that correlated significantly with total IgA antibody levels in the stomach (11). Thus, in the stomach of both H. pylori-infected humans and SL immunized and infected mice, infection-induced inflammation appears to induce specific up-regulation of CXCL10 and CCL28 (but not CCL25), and these chemokines contribute to the migration of lymphocytes into the stomach and protect against H. pylori infection.

The present study adds to recent reports that the SL mucosa is an attractive site for the induction of systemic and mucosal immune responses in the respiratory and genital tracts, and it also shows the potential of SL immunization for inducing mucosal T and B cell immune responses and protection against infection in the gastrointestinal tract. Our results indicate that SL immunization with H. pylori antigens and adjuvant, when given
prophylactically, can provide at least comparable immune responses and protection in mice against *H. pylori* in the stomach as IG immunization. 

The encouraging results obtained in the present study hold promise for use of SL mucosal immunization against *H. pylori* infection in humans, especially when taking into account that humans lack the partly keratinized SL epithelium present in mice, which may further facilitate antigen uptake across the human SL mucosa. Indeed, although immune responses in mice may not accurately predict immune responsiveness to vaccines in humans, a first study of SL immunization of humans with a model mucosal vaccine antigen (CT B-subunit) has given promising results indicating the feasibility of inducing gastro-intestinal immune responses also in humans by SL immunization using a non-replicating antigen (Czerkinsky et al., manuscript in preparation). The present results indicate that SL immunization against *H. pylori* warrants further testing in humans once a suitable vaccine formulation for clinical testing is available. In conclusion, our findings suggest that SL immunization represents a promising novel approach to vaccinating against *H. pylori* infection.
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FIGURE LEGENDS

Figure 1. Sublingual immunization protects against *H. pylori* infection in mice. The data are mean *H. pylori* colony forming units + SEM 4 weeks after challenge in the stomach of mice that were immunized twice with *H. pylori* lysate antigens and CT via the sublingual (SL) or intragastric (IG) route, followed one week later by challenge with live *H. pylori* (SL+C and IG+C, respectively) in comparison with concurrently challenged unimmunized mice serving as infection controls (C). Data are from 2-3 independent experiments with 5-10 mice per group in each experiment and show significant differences between both SL and IG immunized groups compared to infected controls; *** p<0.001. Insert shows colonization of *H. pylori* in mice that were similarly SL immunized with either lysate antigens alone (L) or CT alone (CT) compared to unimmunized concurrently challenged mice. Data are expressed as mean+SEM percentage of bacteria per stomach in individual immunized mice in relation to the mean number of bacteria in infection controls.

Figure 2. Sublingual immunization induces *H. pylori*-specific serum antibody responses as well as a local *H. pylori*-specific IgA antibody response in the stomach mucosa both before and after challenge with live bacteria. Designations of mice tested were as follows: Age matched unimmunized, uninfected C57BL/6 mice served as naïve controls; SL and IG are mice immunized by the SL or IG route and tested before challenge with *H. pylori* bacteria to study the effect of immunization alone on systemic and local antibody responses; C are unimmunized mice that were challenged with *H. pylori* and tested 4 weeks later; SL+C and IG +C are mice that were immunized twice
with *H. pylori* lysate antigens and CT via the sublingual (SL) or intragastric (IG) route, followed one week later by challenge with live *H. pylori* and tested 4 weeks after challenge. (A) Serum antibodies. *H. pylori*-specific serum IgG antibody titers in the groups of mice indicated were determined by ELISA. Data are representative of one of two independent experiments giving similar results. The error bars represent mean+SEM antibody titers; * p<0.05 (B, C) IgA antibodies in stomach and intestinal mucosae. Saponin extracts of stomach (B) and small intestinal (C) mucosa were analyzed for *H. pylori*-specific IgA antibodies by ELISA. Data represent mean+SEM absorbance values at 490 nm (A490) from one of two independent experiments giving similar results; *p<0.05, *** p<0.001.

**Figure 3. Induction of cellular immune responses to *H. pylori* in sublingual (SL) immunized and infected mice.** In vitro proliferative responses to *H. pylori* antigens by mesenteric lymph nodes (MLN) and spleen cells after (A) SL as compared to (B) IG immunization are shown. Age matched unimmunized, uninfected C57BL/6 mice served as naïve controls. SL or IG are immunized mice but not challenged to study the effect of immunization on spleen and MLN proliferative responses. The group C are mice that were left unimmunized and challenged with live *H. pylori* and tested 4 weeks later, serving as infection controls while SL+C and IG +C are mice that were immunized twice SL or IG with *H. pylori* lysate antigens and CT followed one week later by challenge and tested 4 weeks after challenge. Data show the incorporation of radioactive thymidine added during the last 6-8 hours of 96 hours of culture expressed as mean counts per minute (cpm)+SEM; *p<0.05, **p<0.01 and ***p<0.001 (C) IFN-γ and IL-17 cytokine
levels in cell culture supernatants of similar cells as those in (A) collected 96 hours after stimulation, expressed as mean cytokine concentrations, ng per ml±SEM. Data are from 2 independent experiments with a pool of 5-6 mice per group in each experiment.

Figure 4: CMLN are the primary inductive site after SL immunization. (A) FTY720 treatment prevents egress of T and B cells as evidenced reduced frequency of CD3 and CD19 cells in circulation analyzed by flow cytometry. Numbers represent frequencies of CD3+T cells and CD19+ B cells in the live lymphocyte gate. (B) Mice were immunized SL with lysate antigens alone or together with CT and treated with FTY720. Single cell suspensions were prepared from the CMLN and MLN 5 days post SL immunization and restimulated in vitro with H. pylori antigens. Bars represent mean cpm±SEM of incorporated radioactive thymidine. Data are representative of one of two independent experiments giving similar results. (C) Mice were immunized either SL or in the posterior pharynx and challenged intragastrically with H. pylori bacteria. The colony forming units recovered from the mouse stomach was used to calculate the protection factors as described in the materials and methods section.

Figure 5. Increased expression of CD19+ B cells and CD4+ T cells and IFN-γ and IL-17 in the stomach mucosa of SL immunized mice. Age matched unimmunized, uninfected C57BL/6 mice served as naïve controls. SL are immunized mice but not challenged to study the effect of immunization alone on the infiltration of T and B cells and cytokine gene expression in the stomach. The group C are mice that were left unimmunized and concurrently challenged and tested 4 weeks later, serving as infection
controls while SL+C are mice that were immunized twice SL with *H. pylori* lysate antigens and CT followed one week later by challenge with live *H. pylori* and tested 4 weeks after challenge. (A, C) Representative staining patterns for CD19^+^ B cells and CD4^+^ T cells in SL immunized and challenged mice (left) or unimmunized challenged mice (right). Magnification 400x; scale bar indicates 50 µm (B, D) Cumulative results showing the percentage (mean+SEM) of stained stomach mucosa. Combined data from two experiments are shown with 3-4 animals per group and experiment; ** p<0.01, * p<0.05 (E) Expression of cytokine genes. Stomach tissue samples from the same mice as in (B) and (D) were immediately preserved in RNAlater. Isolated RNA samples were converted into cDNA and analyzed by RT-PCR for IFN-γ and IL-17 transcript levels. Dotted line represents the detection limit. Combined data from two experiments are shown, with n=2-4 animals per group and experiment; *** p<0.001, ** p<0.01

**Figure 6. Increased MAdCAM-1 expression in the stomach of SL immunized and *H. pylori* infected mice.** (A) MAdCAM-1 staining with characteristic endothelial localization in the stomach tissue of SL immunized and infected mice (left) and infection control (middle) or isotype control staining (right). Magnification 200x; scale bar indicates 100 µm. (B) Results showing the mean percentage of stomach mucosa specifically stained in the different treatment groups + SEM. Age matched unimmunized, uninfected mice served as naïve controls. SL are mice that were immunized mice but not challenged to study the effect of immunization alone on MAdCAM-1 expression in the stomach mucosa. C are mice that were left unimmunized and concurrently challenged, serving as infection controls while SL+C are mice that were immunized twice SL with *H. pylori*.
pylori lysate antigens and CT followed one week later by challenge with live *H. pylori*. Combined data from two experiments with n=2-3 animals per group and experiment; *p<0.05

**Figure 7.** RT-PCR analysis shows increased expression of the CXCL10 (IP-10), CCL28 (MEC), CXCR3, and CCR10 but not CCL25 and CCR9 genes in stomach mucosa of SL immunized mice and *H. pylori* infected mice. (A) CXCL10 (left) and CXCR3 (right) gene expression and (B) CCL28 (left) and CCR10 (right) (C) CCL25 (left) and CCR9 (right) gene expression in the stomach mucosa of mice from different treatment groups calculated as described in the materials and methods section. Age matched unimmunized, uninfected C57BL/6 mice served as naïve controls. SL+C are mice that were immunized twice SL with *H. pylori* lysate antigens and CT followed one week later by challenge with live *H. pylori*. The group C are mice that were left unimmunized and concurrently challenged, serving as infection controls while SL or IG are immunized mice but not challenged to study the effect of immunization alone on chemokine and chemokine receptor gene expression in the stomach mucosa Combined data from two experiments are shown, n=2-3 animals per group. *p<0.05; **p<0.01.
REFERENCES


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Table 1. Primers used for RT-PCR

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<th>Reverse</th>
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Fig 1

A

SL+C
0
500
1000
1500
2000
2500
***

Bacteria/stomach x10^3

0
50
100
150
200

L CT

colonization
% of controls
Fig 2

A

Serum IgG antibody titers

B

Stomach IgA A490

C

Intestine IgA A490
Fig 3

A

MLN

Spleen

Naive SL C SL+C Naive SL C SL+C

cpmx10^3

0 10 20 30 40

B

MLN

Spleen

Naive IG C IG+C Naive IG C IG+C

cpmx10^3

0 10 20 30 40

C

MLN

Spleen

Naive C SL+C Naive C SL+C

Cytokines (ng/ml)

IFN-γ

IL-17

0 0.5 1 1.5 2 2.5
Fig 6

A

B

% stained area MADCAM-1

Naive  SL  U+C  SL+C

*
Fig. 7

A  
CXCL10  CXCR3

B  
CCL28  CCR10

C  
CCL25  CCR9