

# Innate Immune Gene Polymorphisms in Tuberculosis

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**Tuberculosis (TB) is a leading cause worldwide of human mortality attributable to a single infectious agent. Recent studies targeting candidate genes and “case-control” association have revealed numerous polymorphisms implicated in host susceptibility to TB. Here, we review current progress in the understanding of causative polymorphisms in host innate immune genes associated with TB pathogenesis. We discuss genes encoding several types of proteins: macrophage receptors, such as the mannose receptor (MR, CD206), dendritic cell-specific ICAM-3-grabbing nonintegrin (DC-SIGN, CD209), Dectin-1, Toll-like receptors (TLRs), complement receptor 3 (CR3, CD11b/CD18), nucleotide oligomerization domain 1 (NOD1) and NOD2, CD14, P2X7, and the vitamin D nuclear receptor (VDR); soluble C-type lectins, such as surfactant protein-A (SP-A), SP-D, and mannose-binding lectin (MBL); phagocyte cytokines, such as tumor necrosis factor (TNF), interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6, IL-10, IL-12, and IL-18; chemokines, such as IL-8, monocyte chemoattractant protein 1 (MCP-1), RANTES, and CXCL10; and other important innate immune molecules, such as inducible nitric oxide synthase (iNOS) and solute carrier protein 11A1 (SLC11A1). Polymorphisms in these genes have been variably associated with susceptibility to TB among different populations. This apparent variability is probably accounted for by evolutionary selection pressure as a result of long-term host-pathogen interactions in certain regions or populations and, in part, by lack of proper study design and limited knowledge of molecular and functional effects of the implicated genetic variants. Finally, we discuss genomic technologies that hold promise for resolving questions regarding the evolutionary paths of the human genome, functional effects of polymorphisms, and corollary impacts of adaptation on human health, ultimately leading to novel approaches to controlling TB.**

**T**uberculosis (TB), an infectious disease caused by *Mycobacterium tuberculosis*, kills approximately 2 million people annually. TB is exacerbated by the emergence of multidrug- and extensively drug-resistant (MDR and XDR) bacterial strains (258) and represents a major public health problem on a global scale. Humans are the natural reservoir for *M. tuberculosis*, a highly host-adapted intracellular bacterial pathogen of macrophages. TB occurs predominantly in parts of the world such as Africa and South Asia (80, 87). The occurrence of TB at different rates among particular races, ethnicities, and families indicates a genetic predisposition to TB susceptibility. Complex interactions of *M. tuberculosis* with environmental and host genetic factors play a critical role in TB development. Host genetic factors explain, at least in part, why some people are more or less susceptible to infection. Several lines of evidence, including twin studies (48, 148, 242), genome-wide linkage studies (21, 49, 136, 144, 148, 217), and recently published genome-wide association studies (GWAS) (135, 172, 229), demonstrate that host genetics strongly influences susceptibility to TB. However, assessing the contributions and functional consequences of specific genetic variations (polymorphisms) in the human genome to host susceptibility or resistance to TB remains a longstanding challenge of population genetics research, with many questions unanswered. Also, in the vast majority of implicated genes, the molecular functions of candidate polymorphisms have remained unknown (Table 1).

Widespread *M. tuberculosis* infection of the human race over prolonged time periods suggests powerful evolutionary pressures in the interactions between host and pathogen genomes (15, 38, 170). Because of ready access to the microbial genome, the majority of studies have thus far focused on the evolution of *Mycobacterium* species (46, 254, 268), while the adaptive pressures on the human genome have been such that only incremental insights into

candidate genes have been yielded. Nevertheless, clear signatures of evolutionary selection pressure can be found in multiple human gene families involved in TB pathogenesis, as well as associated processes, such as autophagy (60, 61). Strong evidence for selection of genetic variants modulating infectivity and resistance exists for genes encoding major histocompatibility complex/human leukocyte antigen (MHC/HLA) (31, 235), tumor necrosis factors (TNFs) and their receptors (259), immune-related GTP-ases (IRGs) (20), NRAMP1 (solute carrier protein 11A1 [SLC11A1]) (18, 38), Toll-like receptors (TLRs) (16, 265), vitamin D nuclear receptor (VDR) (38, 260), and cell surface proteins, such as lectins (159). As yet, however, an integrative understanding is still lacking of how these factors act in concert to mitigate the effects of TB or render resistance, versus the ability of *M. tuberculosis* to evade such mechanisms.

It is also critical to understand that genetic adaptation leading to resistance of the host does not occur without cost. For example, MHC-associated diseases may be the price paid for an effective immune response (235). Similarly, the same polymorphisms conveying partial resistance to *M. tuberculosis* infection—for example, promoter variants in TNF and NRAMP (199) that increase resistance—conversely enhance autoimmune reactions (1). A link between the spread of TB, as a result of human urbanization

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TABLE 1 Association studies on host innate immune genes related to TB pathogenesis

Gene	Polymorphism(s) (genetic location)	Population(s) <sup>a</sup>	Association with TB <sup>b</sup>	Molecular mechanism known?	Reference(s)
<i>MR</i>	1186G/A (exon)	China	Yes	No	272
<i>DC-SIGN</i>	-336G/A (promoter)	South Africa	Yes	No	17
		Colombia, Tunisia	No		25,88
		SSA, Gambia	Yes	No	247
		India, China	No		202,275
	-871G/A (promoter)	South Africa	Yes	No	17
		China	No		275
<i>Dectin-1</i>	Not identified				
<i>TLR1</i>	N248S, S602I (exon)	USA (African-American)	Yes	No	131
		Europe (Caucasian)	Yes	No	239
<i>TLR2</i>	R753Q (exon)	Turkey	Yes	No	162
	R677W (exon)	Tunisia	Yes	No	24
	Insertion/deletion (promoter)	Guinea-Bissau, USA (Caucasian)	Yes	No	251
<i>TLR4</i>	D299G (exon)	Gambia, Guinea-Bissau	No		157,166
<i>TLR8</i>	rs3764880 (exon)	Indonesia, Russia	Yes	No	56
<i>TLR9</i>	rs352143, rs574386 (exon)	USA (Caucasian), USA (African-American)	Yes	No	251
<i>TIRAP</i>	S180L (exon)	West Africa	Yes	Yes	110
		Indonesia, Russia, Ghana	No		156
		Meta-analysis (China)	No		142
<i>CR1</i>	Q1022H (exon)	Malawi	Yes	Yes	76
<i>CR3</i>	Not identified				
<i>NOD1</i>	Not identified				
<i>NOD2</i>	P268S, R702W, A725G (exon)	USA (African-American)	Yes	No	10
<i>CD14</i>	-159C/T (promoter)	Mexico	Yes	No	184
		Korea	Yes	Yes	108
<i>P2X7</i>	1513A/C (exon)	Gambia, China	No		119,262
		Mexico, Russia	Yes	No	145,161
		India	Yes	No	205,209
		Meta-analysis (China)	Yes	No	263
		Meta-analysis (China)	No		257
	-762T/C (promoter)	Gambia, India	Yes	No	119,209
		Mexico, Russia, China	No		145,161,262
		Meta-analysis (China)	No		263
<i>VDR</i>	ApaI (exon)	Guinea-Bissau	Yes	No	166
		Tanzania	No		211
	BsmI (exon)	Meta-analysis (Asia)	Yes	No	85
		Meta-analysis (Africa)	No		85
	FokI (exon)	China	Yes	No	125
		Tanzania	No		211
	TaqI (exon)	Gambia	Yes	No	22
		Cambodia, Tanzania	No		57,211
	FokI-BsmI-ApaI-TaqI haplotype	West Africa	Yes	No	33
		South Africa	Yes	No	127
<i>SP-A1</i>	1416C/T (intron)	India	Yes	No	134
	307G/A,776C/T (exon)	Ethiopia	Yes	No	138
<i>SP-A2</i>	1382C/G (intron)	India	Yes	No	134
	355C/G, 751A/C (exon)	Ethiopia	Yes	No	138
<i>MBL</i>	O allele	India	Yes	No	203
	O, X alleles	Denmark	Mixed		212
	B allele	USA (African-American)	Yes	No	68
	O allele	USA (Caucasian)	No		68
	O,H,L,X,Y,P,Q alleles	China	No		126
	AO genotype	Tanzania	No		211
	HYA/HYA, LYB/LYD haplotypes	Italy	Yes	No	39

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TABLE 1 (Continued)

Gene	Polymorphism(s) (genetic location)	Population(s) <sup>a</sup>	Association with TB <sup>b</sup>	Molecular mechanism known?	Reference(s)
	AA,AO,OO genotypes	Meta-analysis (Australia)	No		59
	B allele	India	Yes	No	210
	G57E (exon)	Ghana	Yes	No	228
<i>TNF</i>	-238G/A (promoter)	Colombia	Yes	No	53
		Iran	No		5
	-238GG genotype	Iran	Yes	No	5
	-308G/A (promoter)	Colombia, Iran	Yes	No	53,141
		Korea	No		163
		Meta-analysis (Brazil)	No		169
		Meta-analysis (China)	No		256
<i>IL-1β</i>	-511T/C (promoter)	Gambia	Yes	No	11
		Colombia	No		90
	3953T/C (exon)	Gambia	No		11
	3953 T allele	Colombia	Yes	No	90
<i>IL-6</i>	-174G/C (promoter)	Colombia, India	No		97,201
	-174GG genotype	Iran	Yes	Yes	5
	-174G allele	Canada (aboriginals)	Yes	Yes	115
<i>IL-8</i>	-251T/A (promoter)	Gambia	No		50
	-251A allele	USA (African-American)	Yes	No	132
	781T/C (exon)	Gambia	No		50
<i>IL-10</i>	-1082G/A (promoter)	Cambodia	Yes	No	57
		Brazil, Spain, Pakistan	No		7,128,169
		Meta-analysis (China)	Yes	No	270
	-1082G allele	Turkey	Yes	No	9,168
	-1082 allele/genotype	Egypt	No		154
	-592A/C (promoter)	Korea	Yes	No	207
<i>IL-18</i>	-607C/A, -137G/A	India	No		94
	-667G/T, -618A/C	Korea	No		117
	AGA, CCA haplotypes	China	Yes	No	93
<i>MCP-1 (CCL2)</i>	-2518A/G (promoter)	Zambia, Tunisia	Yes	No	28,37
		Hong Kong	No		45
	-2518 GG genotype	Mexico, South Korea	Yes	Yes	78
	-2518AA genotype	Zambia, Mexico, South Korea	No		37,78
	-362G/C (promoter)	Ghana	Yes	No	227
<i>RANTES (CCL5)</i>	-403G/A, -28C/G, ln1T/C	Hong Kong	No		45
	ACT, GCC haplotypes	Hong Kong	Yes	No	45
	-403G/A, -28C/G or GG, AC haplotypes	Spain, Tunisia	Yes	No	26,192
<i>CXCL10</i>	-135G/A (promoter)	China	Yes	No	225
	-872G/A, -1447A/G	China	No		225
<i>iNOS (NOS2)</i>	-1026G allele	Brazil	Yes	No	102
	-954G/C (promoter)	Mexico	No		78
	CCTTT (microsatellite)	Colombia	Yes	No	89
	TAAA (microsatellite)	Colombia	No		89
	rs2274894 (intron), rs7215373 (3'-UTR)	USA (African-American)	Yes	No	250
	rs9282799, rs8078340 (promoter) haplotypes	South Africa	Yes	No	150
<i>SLC11A1 (NRAMP1)</i>	274C allele (exon)	USA (Children)	Yes	No	137
	3'-UTR	Gambia, South Korea	Yes	No	23,187
		China	Yes	No	103,118
	D543N (exon)	Gambia, China	Yes	No	23,118

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TABLE 1 (Continued)

Gene	Polymorphism(s) (genetic location)	Population(s) <sup>a</sup>	Association with TB <sup>b</sup>	Molecular mechanism known?	Reference(s)
	5'(GT)n (promoter)	Gambia, South Africa	Yes	No	12,23,99
		USA, Tanzania	Yes	No	130,211
		USA, Morocco	No		67,249
	INT4 (intron)	Gambia, China	Yes	No	23,271
		China	No		103
	3'-UTR, D543N	Morocco, Thailand	No		67,248
		Cambodia	Yes	No	57
	3'-UTR, D543N, 5'(GT)n	Meta-analysis (China)	Yes	No	121
	3'-UTR, D543N, 5'(GT)n, INT4	Turkey	No		8
		Meta-analysis (China)	Yes	No	122

<sup>a</sup> SSA, sub-Saharan Africa.

<sup>b</sup> Refers to mainly pulmonary TB.

and high population density leading to enhanced infection rates, and rheumatoid arthritis was suggested in one study, paralleling the observation that therapy of rheumatoid arthritis with TNF antibodies results in increased TB rates (1). The evolutionary history of the IRGM locus highlights the important role of the IRGM gene in autophagy and Crohn's disease in response to pathogenesis (20). Other consequences can occur in unexpected ways, even involving psychiatric disorders, such as schizophrenia, suggested to occur via a kynurenine pathway and NAD<sup>+</sup> biosynthesis (143). Thus, cumulative information from the literature suggests a fundamental evolutionary concept, that environmental factors have exerted selection pressure on the human host genome, leading to the accumulation of genetic variants relevant to TB susceptibility.

Here, we review polymorphisms present in host innate immune genes having either a significant or a possible association with TB. For completeness, in each section, we first summarize the biological attributes of selected proteins, focusing on macrophage pattern recognition receptors (PRRs), soluble C-type lectins (including the collectins), cytokines, and chemokines as major subsets of innate immune determinants (Table 1). In view of the evolutionary history of *M. tuberculosis*-human interactions, we expect many of the genetic variants to occur at relatively high frequencies, but negative consequences to the host could limit selective sweeps, as homozygous carriers can suffer corollary damage that itself will be under negative selection pressure. We highlight cases where evidence of selection pressure is established as a sign that the genetic variant indeed has a strong phenotypic influence on TB. We also review current challenges in genomic approaches to TB and provide examples of opportunities for the road forward in this critical area of study.

**PATTERN RECOGNITION RECEPTORS**

Macrophage PRRs and phagocytic receptors contributing to mycobacterial diseases, especially TB, have been discussed in several recent reviews (104, 193, 231, 266). These include cell membrane-bound receptors, such as the mannose receptor (MR, CD206), dendritic cell-specific ICAM-3-grabbing nonintegrin (DC-SIGN) (CD209), Dectin-1, TLRs, and complement receptor 3 (CR3, CD11b/CD18), and intracellular cytosolic receptors, such as nucleotide oligomerization domain 1 (NOD1) and NOD2 (Fig. 1).

**MR.** The MR or MRC1, also known as CD206, is a type I transmembrane protein expressed abundantly on macrophages and DCs (139, 213, 267). Phagocytosis of *M. tuberculosis* by macro-

phages is mediated in part by the MR (107, 197), and its engagement by bacterial surface mannoseylated lipoglycans results in limited phagosome-lysosome fusion within macrophages (106, 230). MR ligation by the *M. tuberculosis* surface lipoglycan, mannose-capped lipoarabinomannan (ManLAM), creates an immunosuppressive environment in the host (reviewed in references 70 and 231). Our recent studies on an MR-specific signaling pathway (179) demonstrate that the MR-mediated uptake of live *M. tuberculosis* or ManLAM by macrophages leads to upregulation of the

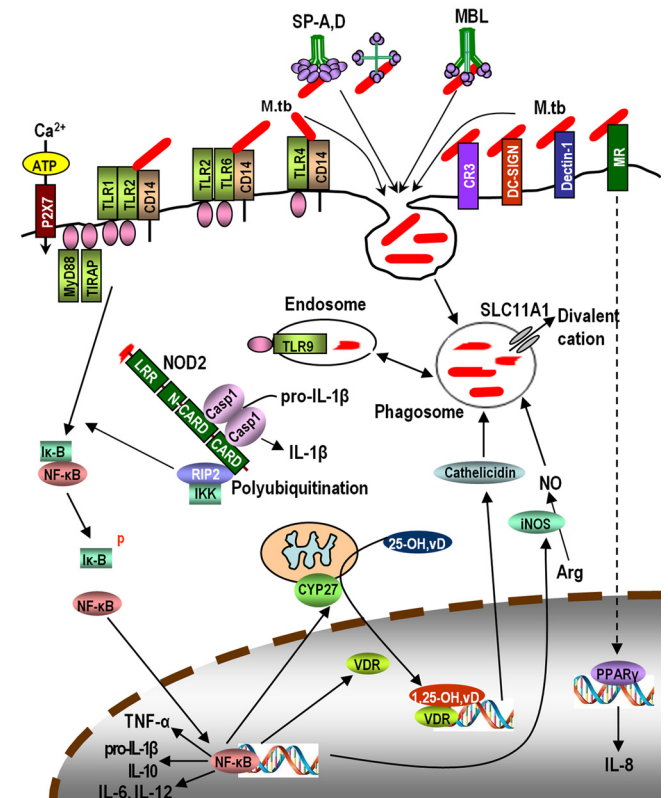


FIG 1 A representative scheme of the macrophage innate immune network in response to *M. tuberculosis* (*M.tb*) infection discussed in this review. LRR, leucine-rich repeat; IKK, IκB kinase; 25-OH,vD: 25-hydroxyvitamin D; 1,25-OH,vD: 1,25-dihydroxyvitamin D.

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nuclear receptor peroxisome proliferator-activated receptor gamma (PPAR- $\gamma$ ) (Fig. 1), which is linked to macrophage anti-inflammatory pathways. The MR also serves as a molecular link between innate and adaptive immune responses (174, 213).

**Genetic studies.** In a recent case-control study with a Chinese population, a nonsynonymous single-nucleotide polymorphism (SNP), 1186G/A (rs34039386; Gly $\rightarrow$ Ser, G396S in exon 7), was found to be associated with pulmonary TB (272). The Ser allele was previously shown to be significantly associated with leprosy (caused by the closely related species *Mycobacterium leprae*) in Vietnamese, whereas the Gly allele was associated in Brazilian cases (4). Such discrepancies can arise if the candidate SNP serves only as a surrogate for a functional variant with different haplotype structures present in different populations or if these associations are false positives. While a genetic association of the MR with TB or TB-related diseases is plausible, the causative polymorphisms and underlying molecular mechanisms remain uncertain.

**DC-SIGN.** DC-SIGN (CD209) is a type II transmembrane protein predominantly expressed on DCs (245), whereas its presence on macrophages depends on the tissue type and state of activation (175, 204). DC-SIGN is induced in alveolar macrophages from *M. tuberculosis*-infected patients (220). It directly mediates phagocytosis of *M. tuberculosis* by DCs (221) and interferes with DC maturation (86). The cytoplasmic tail of DC-SIGN has three conserved motifs that are involved in ligand binding and receptor signaling, phagocytosis, and intracellular trafficking of ligand particles (13, 75).

**Genetic studies.** Two polymorphisms in the promoter region of DC-SIGN (−871A/G and −336A/G) have shown significant associations with TB (Table 1). In a separate study with both Caucasian Canadian and indigenous African populations, SNPs within the 5′- and 3′-untranslated regions (UTRs) of both DC-SIGN and DC-SIGNR revealed possible associations but also showed significantly different frequencies among the two populations, which emphasizes the need to consider geographical and ethnic diversity in assessing susceptibility to TB (32). These regulatory polymorphisms were assumed to affect gene transcription and translation, but further studies are needed to establish a causal chain of events.

**Dectin-1.** Dectin-1, a  $\beta$ -glucan PRR, is a type II transmembrane protein expressed on macrophages, DCs, and neutrophils, regulating proinflammatory responses to microbial pathogens (35, 36). Although no specific mycobacterial ligands for Dectin-1 have been identified so far, this receptor interacts with mycobacteria in concert with TLR2 to produce cytokines such as TNF and interleukin-12p40 (IL-12p40) (186, 264). Recent studies have proposed additional roles of Dectin-1 in *M. tuberculosis* infection (243, 269).

**Genetic studies.** There are no reports to date of *Dectin-1* polymorphisms that can be linked directly to TB. Because of the potential cross talk of Dectin-1 with other PRRs on the cell surface (240), polymorphisms in this C-type lectin should be tested for their impact on TB pathogenesis. Because of the interaction with other susceptibility factors, studies should focus on gene-gene interactions (epistasis) whereby a genetic variant in *Dectin-1* may show significance only in the context of variants in interacting genes.

**TLRs.** TLRs are expressed on many cell types, including host immune cells, serving as critical mediators of the immune response to a variety of pathogens, including *M. tuberculosis* (104,

109). TLRs are either expressed on the cell surface (e.g., TLR2 and 4) or intracellularly (e.g., TLR8 and 9) (185). *M. tuberculosis* and its cell wall components are recognized by several TLRs, including TLR1, TLR2, TLR4, TLR6, and TLR9 (96, 105, 140, 177, 224). Among them, evidence for genetic variants associated with TB is most abundant for TLR2, which functions alone or as a heterodimer with TLR1 or TLR6 (Fig. 1). The strong proinflammatory response to *M. tuberculosis* infection observed via the TLR2 signaling pathway is mediated through its adaptor proteins MyD88 and TIR domain-containing adaptor protein (TIRAP) (178, 185) (Fig. 1).

**Genetic studies.** Several common polymorphisms associated with TB have been reported for different TLRs, including TLR1, −2, −4, −8, and −9 (Table 1). The TLR2 variant R753Q appears to influence the progression of infection to TB disease in children (55). Another exonic SNP of TLR2 (597T/C) was found to be strongly associated with TB meningitis and miliary TB in Vietnam (226). Although the TLR4 polymorphism D299G did not show any association with pulmonary TB (Table 1), the same variant has been found to be a risk factor for TB in HIV-infected patients in Tanzania and Spain (74, 176). HIV is thus a factor interacting with certain host genetic variants to influence susceptibility to TB. In a diversified racial study assessing 71 reference SNPs in five TLRs (TLR1, −2, −4, −6, and −9) within populations of U.S. Caucasian, African-American, and West African TB cases, significant associations of TB were observed with two variants each from TLR2 (an insertion/deletion at −196 to −174) and TLR9 across certain, but not all, study populations (251) (Table 1). Adaptor protein TIRAP, central to signaling from both TLR2 and TLR4 to NF- $\kappa$ B activation, is also implicated in association with TB. A reported role of the nonsynonymous SNP S180L (975C/T) in TIRAP (Table 1) in protection against TB results from attenuation of TLR2 signal transduction (110). Another polymorphic variant (558C/T) in TIRAP, discovered in the Vietnamese population, showed an association with TB meningitis but not pulmonary TB (95). These results support TLRs and their signaling partners as true susceptibility genes; however, the evidence is varied for individual genes and alleles, making it difficult to assess the clinical relevance of specific genetic factors related to TLRs at this point.

**CR3 (CD11b/CD18).** CR3 is one member of the CR family, which also includes CR1 (CD35) and CR4 (CD11c/CD18). The role of C3 opsonization and the contributions of CR1, CR3, and CR4 in the phagocytosis of *M. tuberculosis* have been described (reviewed in references 70 and 196). CR3 expressed on human monocytes and macrophages (Fig. 1) plays an important role in both opsonic and nonopsonic uptake of *M. tuberculosis* (196). The role of CR3 during *M. tuberculosis* infection *in vivo* remains elusive.

**Genetic studies.** To date, little is known about the role of genetic polymorphisms in CR family members and TB. Among five polymorphisms in CR1, including Q1022H, identified in a Malawian study, homozygous 1022H carriage was reported to increase the risk of TB (76). This nonsynonymous SNP impairs ligand binding and, thus, may alter *M. tuberculosis* uptake. Such functional analysis strengthens the case for a role of CR polymorphisms in *M. tuberculosis* infectivity.

**NOD1 and NOD2.** NOD1 and NOD2 (also known as CARD4 and CARD15, respectively), prototype members of the NOD-like receptor family, are cytoplasmic sensor proteins. *M. tuberculosis* contains a unique N-glycolyl muramyl dipeptide (MDP) in its cell

wall peptidoglycan which is a particularly potent NOD2 ligand (54). NOD proteins are implicated in a variety of inflammatory diseases, including Crohn's disease (40, 100), while a contribution to mycobacterial diseases is also emerging (111). We have recently found that NOD2 regulates proinflammatory responses and the intramacrophage survival of *M. tuberculosis* in human macrophages (34).

**Genetic studies.** To date, any role of polymorphisms in *NOD1* in TB pathogenesis remains uncertain. Two independent studies in an African population failed to reveal associations with known *NOD2* polymorphisms (2936insC, R675W, G1881R, R702W, G908R, and 1007fs) that had previously been associated with Crohn's disease (149, 218). Amino acid substitutions resulting from three *NOD2* polymorphisms (Table 1) are proposed to change the protein's hydrophobicity and increase its stability, thereby enhancing ligand sensing (10). Taken together, genetic studies should include *NOD2* to test its potential role as a TB modulator.

**Other receptors.** Macrophage receptors, such as the membrane-bound CD14, human purinergic receptor P2X7, and vitamin D nuclear receptor (VDR), also play a role in the host innate immune response to TB (Fig. 1) (for reviews, see references 29, 148, 200, and 266). Association of a promoter region *CD14* polymorphism (−159C/T) with TB (Table 1) was attributed to higher promoter activity of the variant allele, increased soluble CD14 production, and decreased secretion of gamma interferon (IFN- $\gamma$ ) (108). The P2X7 receptor mediates ATP-induced killing of mycobacteria through induction of phospholipase D and phagolysosomal fusion (69, 112). Two polymorphisms in *P2X7* (1513A/C and −762T/C) have been tested for TB associations, with various results (Table 1). In addition to its potential role in pulmonary TB, the association of *P2X7* 1513A/C with increased susceptibility to extrapulmonary TB was attributed to perturbation of ATP-mediated killing of *M. tuberculosis* by macrophages (73). The promoter polymorphism −762T/C was suggested to alter *P2X7* gene expression, but experimental evidence is lacking. Vitamin D has been linked to TB pathogenesis through the action of VDR (29, 190, 200), suppressing *M. tuberculosis* growth in macrophages (29) through induction of the antimicrobial peptide cathelicidin, potentially also involving TLR signaling (Fig. 1) (124, 190). Several *VDR* polymorphisms with nomenclature derived from their restriction enzyme cleavage sites, such as FokI, TaqI, BsmI, and ApaI, and their combination as a haplotype, have been tested in population studies for TB associations (Table 1), but a meta-analysis yielded inconsistent results (85).

### SOLUBLE C-TYPE LECTINS (COLLECTINS)

Collectins are collagen like-containing calcium-dependent (C-type) lectins, including lung surfactant proteins A and D (SP-A and SP-D) and mannose-binding lectin (MBL) (Fig. 1). Interactions of *M. tuberculosis* with SP-A, SP-D, and MBL have been described previously (231).

**SP-A and SP-D.** Present in the lung alveoli, SP-A and SP-D are predominantly expressed by alveolar type II epithelial cells (261). SP-A consists of two highly similar isoforms encoded by separate genes, *SP-A1* and *SP-A2*. SP-A and SP-D participate in the lung innate immune system for a spectrum of lung pathogens, including *M. tuberculosis*. We have demonstrated that SP-A and SP-D modulate the phagocytosis of *M. tuberculosis* by human macrophages in opposite directions (19, 72). SP-D treatment of *M. tu-*

*berculosis* causes increased phagosome-lysosome fusion and reduced intracellular growth (71). SP-A's interaction with macrophages regulates the expression and function of TLR2 and -4 (98).

**Genetic studies.** Polymorphisms in *SP-A* and *SP-D* that occur with considerable frequency are considered genetic determinants for a number of pulmonary infectious diseases, including TB (92). Allelic variations in both *SP-A* and *SP-D* have been shown to influence host susceptibility to TB in a Mexican population (79). Several intronic and exonic *SP-A1* and *SP-A2* SNPs associated with TB are listed in Table 1. Malik et al. (138) suggested that these polymorphisms may affect splicing and/or mRNA maturation, but a rigorous analysis of the underlying mechanism remains to be done.

**MBL.** Secreted by the liver, MBL is an acute-phase protein which recognizes microbial surface carbohydrates, especially mannose- and *N*-acetylglucosamine-terminated glycoproteins. It is a multimeric protein with a structure similar to those of SP-A and SP-D (Fig. 1). MBL plays an important role in host defense against pathogens, including *M. tuberculosis*. By binding to *M. tuberculosis*, MBL acts as an opsonin, enhances both complement-dependent and -independent phagocytosis, and promotes inflammation with the release of cytokines (65, 222).

**Genetic studies.** Interindividual variations in human serum MBL levels have been associated with three common SNPs in exon 1 of the *MBL2* gene, at codons 52, 54, and 57 (reviewed in reference 266). Mutations at these codons result in low or nearly absent serum MBL levels in hetero- and homozygote individuals, respectively. However, several studies with *MBL* alleles, genotypes, or haplotypes, mostly based on these polymorphisms, have yielded conflicting results (Table 1). Clearly, more work is needed to establish a causative link between *MBL* variants and TB.

### CYTOKINES AND CHEMOKINES

Cytokine networks established and maintained by macrophages in the innate immune system play a critical role in controlling *M. tuberculosis* infection. Upon infection, macrophages are activated to produce proinflammatory cytokines, including TNF, IL-1 $\beta$ , IL-6, IL-12, and IL-18, and the regulatory IL-10 (Fig. 1). Chemokines relevant to *M. tuberculosis* infection include IL-8 (CXCL8), monocyte chemoattractant protein 1 (MCP-1, CCL2), RANTES (CCL5), and CXCL10 (IP-10). The role of these cytokines/chemokines and their genetic variations in TB pathogenesis has been reviewed (29, 193, 201, 266). Many of the implicated polymorphisms reside in promoter regions, with the potential to affect transcription and, hence, cytokine/chemokine activity.

**TNF.** Nineteen isoforms of cytokines have been identified for the TNF family, and TNF (formerly known as TNF- $\alpha$ ) is a prototypic proinflammatory cytokine produced by monocytes, macrophages, and DCs when stimulated with mycobacteria or mycobacterial products. TNF is involved in strong protective host responses against *M. tuberculosis* infection by a variety of mechanisms (77, 81, 183).

**Genetic studies.** Two common SNPs in the promoter region of the TNF gene (−238G/A and −308G/A) have been extensively studied, with various outcomes (Table 1), while other studies have also focused on TNF receptor (TNFR). An association between TB and *TNFR1* was reported in a Ugandan population (216). A single *TNFR1* SNP (rs3397) and a 3'-UTR haplotype (GTT) were associated with TB resistance in Ghana and South Africa (147). Possible evolutionary selection pressure appears to affect TNF gene

expression rather than protein structure (1, 259), a common finding among the TB susceptibility genes, as regulation of gene expression may be a prevalent selection mechanism in evolution.

**IL-1 $\beta$ .** Expressed in a variety of immune cells in an inactive precursor form (pro-IL-1 $\beta$ ) and then cleaved by caspase 1 to an active form via the inflammasome (Fig. 1), IL-1 $\beta$  upregulates essential mediators necessary for the control of *M. tuberculosis* infection (63, 82).

**Genetic studies.** Two *IL-1 $\beta$*  polymorphisms, one in the promoter region (−511) and the other exonic (+3953), have been associated with pulmonary TB (Table 1). Extrapulmonary TB was also associated with the +3953CT genotype of the IL-1 $\beta$  gene (155). Another *IL-1 $\beta$*  polymorphic locus was identified at +3962 in a Macedonian population; the +3962TT genotype was significantly associated with susceptibility, while the +3962CT genotype appeared to be protective (232), suggesting a dominant effect for the T allele. However, the underlying mechanisms have not been investigated.

**IL-6.** With both pro- and anti-inflammatory properties, IL-6 is produced early during mycobacterial infection and is involved in macrophage and cytotoxic T-cell differentiation (114, 195, 208).

**Genetic studies.** A genome-wide scan of Ugandans with TB revealed a linkage to a locus on chromosome 7 which contains the IL-6 gene (217); however, direct association of *IL-6* variants with TB could not be confirmed by fine mapping in a subsequent study (14). Increased frequency of the G allele or GG genotype in a common variant in the promoter region of *IL-6* (−174) in TB patients (Table 1) was associated with high IL-6 production (5, 115), which may have promoted *M. tuberculosis* infection by inhibiting the production of other potent cytokines, such as TNF and IL-1 $\beta$ .

**IL-8.** The chemokine IL-8 (CXCL8) is produced by phagocytic cells upon stimulation with *M. tuberculosis* or its products (182, 273), leading to recruitment of inflammatory cells to the site of infection. Increased levels of IL-8 in the bronchoalveolar lavage fluid of *M. tuberculosis*-infected humans have been associated with increased mortality of TB patients (189). We found an increased production of IL-8 by *M. tuberculosis*-infected human macrophages through MR-directed PPAR- $\gamma$  activation (179) (Fig. 1). Only a few studies have addressed the association of *IL-8* polymorphisms with TB (Table 1). A causative link between IL-8 and TB, however, remains elusive.

**IL-10.** Expressed by activated monocytes/macrophages, DCs, B cells, and regulatory T cell subsets (188), IL-10 is considered a macrophage-deactivating cytokine since it suppresses the proinflammatory response by downregulating the production of several cytokines. *M. tuberculosis* phagocytosis induces IL-10 production in human monocytic cells (206). During latent *M. tuberculosis* infection, increased IL-10 production promotes reactivation of disease in mice (238). IL-10 potentially helps *M. tuberculosis* persistence in humans by blocking phagosome maturation in macrophages (165).

**Genetic studies.** *IL-10* SNPs (−1082G/A and −592A/C) have been associated with increased protein expression (237). Despite extensive studies, especially with −1082G/A, associations with TB were inconsistent (Table 1). In a candidate gene linkage study using microsatellite markers, the IL-10 gene was implicated in clinical TB (216). This study found a strong linkage between the *IL-10* polymorphisms and TNF levels and confirmed the previous association of TB with *IL-10*, especially for the SNP at −592.

**IL-12.** IL-12 is mainly secreted by phagocytic cells (monocytes, macrophages, neutrophils, and DCs), induces IFN- $\gamma$  production by T cells by signaling through IL-12 receptors (IL-12BR1 and IL-12BR2), and promotes T-cell differentiation into Th1 cells (233, 234). IL-12 is one of the key players in host defense against *M. tuberculosis* infection (51, 84).

**Genetic studies.** Discrepant results have been reported among various populations regarding the link between TB and IL-12 polymorphisms (especially for *IL-12B*, the gene encoding the IL-12p40 subunit). Several *IL-12B* polymorphisms in the introns, promoter, or 3′-UTR were found to be associated with TB in some studies (83, 151, 236) but not others (132, 173, 201). An *IL-12B* 3′-UTR SNP (rs3212227) was identified as a risk factor for pulmonary TB in populations of African origin in a confirmation study (152). Polymorphisms in the IL-12 receptor gene, *IL-12RB1*, have also been implicated in the development of TB in Moroccan (181) and Japanese (113) populations but not in Koreans (116).

**IL-18.** Sharing many features with IL-1, IL-18 is another proinflammatory cytokine involved in the induction of IFN- $\gamma$ , synergistically with IL-12 (64, 164). IL-18 production by *M. tuberculosis*-infected macrophages is increased by contact with activated CD4<sup>+</sup> T cells (244). There is evidence for a protective role of IL-18 during mycobacterial infections; IL-18 knockout mice were found to be highly susceptible to *Mycobacterium bovis* BCG and *M. tuberculosis* (219).

**Genetic studies.** Several promoter polymorphisms for *IL-18* have been reported, but only haplotypes were significantly associated with TB (Table 1). This result suggests a role for SNP phasing for determining functionality or that any causative variants are insufficiently represented by single SNP markers.

**MCP-1 (CCL2).** Monocyte chemoattractant protein-1, or MCP-1, is a  $\beta$ -chemokine produced by and acting on monocytes and macrophages. *M. tuberculosis* preferentially induces MCP-1 production by human monocytes (123). MCP-1 deficiency in mice impaired monocyte recruitment and granuloma formation, and yet it conferred decreased susceptibility to *M. tuberculosis* (129).

**Genetic studies.** Discrepant results obtained from genotype- and allele-based TB association studies with *MCP-1* variants (especially at −2518) (Table 1) failed to yield solid evidence for genetic effects of *MCP-1*. Carriers of the −2518 GG genotype were reported to produce high levels of MCP-1, which inhibits IL-12 production in response to *M. tuberculosis* and promotes active pulmonary TB (78), whereas Thye et al. (227) initially found association of the −2518G allele with TB resistance in Ghana, and further genotyping led to the identification of the −362C allele as the putatively “true” protective variant of *MCP-1*, reflecting linkage disequilibrium between −2518 and −362. This study is a cautionary note for the need to carry out a thorough genetic investigation to search for the actual causative polymorphism.

**RANTES (CCL5).** RANTES (regulated upon activation, normal T-cell expressed, and secreted), a member of the C-C chemokine subfamily, acts as a chemokine for T cells, monocytes/macrophages, eosinophils, and basophils. RANTES promotes granuloma formation in *M. tuberculosis*-infected lungs in a mouse model (44, 252). *M. tuberculosis* infection of human alveolar macrophages induces the production of RANTES, which reduces intracellular bacterial growth (194). The role of RANTES in protective immunity to *M. tuberculosis* infection has also been reported in mouse macrophages (191) and in knockout mice (252).

**Genetic studies.** A few studies have focused on  $-403G/A$  and  $-28C/G$  promoter variants of the RANTES gene (Table 1), providing evidence that genotypes or haplotypes derived from a combination of SNPs could confer resistance or susceptibility to TB (26, 45, 192). However, the actual causative variants need to be established before definitive conclusions can be made.

**CXCL10(IP-10).** A member of the CXC chemokine subfamily, CXCL10 (C-X-C motif chemokine 10), is also known as gamma interferon-induced protein-10 (IP-10) because it is secreted by several cell types in response to IFN- $\gamma$ . In addition to its chemotactic properties for immune cells, including monocytes/macrophages, CXCL10 is also involved in stimulation of NK cells and migration of T cells following *M. tuberculosis* infection (276).

**Genetic studies.** One ( $-135G/A$ ) of three CXCL10 promoter polymorphisms examined was moderately associated with TB in a Chinese population (Table 1). The same variant had previously been associated with hepatitis B virus infection (58) and was suggested to contribute to CXCL10 expression by NF- $\kappa$ B transactivation (225). Taken together, these observations justify further studies on the role of CXCL10 variants.

### OTHER INNATE IMMUNE MOLECULES

**iNOS (NOS2).** Inducible nitric oxide synthase, or iNOS (also known as NOS2 and encoded by the human NOS2A), expressed by mycobacterium-infected macrophages and epithelial cells, produces NO, an effector molecule with bactericidal activity against *M. tuberculosis* (42, 43) (Fig. 1). Although iNOS (human NOS2A) expression has been reported in lung macrophages from patients infected with *M. tuberculosis* (160), iNOS activity and NO production are more robust in mouse macrophages than in human macrophages (198).

**Genetic studies.** An iNOS locus has been found to be protective against TB in a mouse study (133), and variants in the human NOS2A locus have been tested in TB association studies (Table 1). Together with functional evidence described in these studies, the genetic associations found between NOS2A and TB support the importance of NO in TB and infectious diseases in general.

**SLC11A1 (NRAMP1).** Solute carrier family 11A, member 1, or SLC11A1 (also known as natural resistance-associated macrophage protein 1, or NRAMP1), acts as a divalent cation transporter or antiporter across phagosomal membranes (91, 101) (Fig. 1). It was originally identified in mice as a regulator of resistance to intracellular pathogens, including *M. bovis* BCG (253). By inducing microbicidal activities in infected macrophages, SLC11A1 is a critical mediator in the innate immune response to mycobacterial infection, but the precise function of this protein remains unclear.

**Genetic studies.** Four SLC11A1 polymorphisms [3'-UTR, D543N, 5'(GT) $_n$ , and INT4] and their associations with TB have been studied globally (Table 1). Association of most of the variants has been confirmed in a meta-analysis in China (121), whereas a small case study in Turkey on all four variants did not reveal any association with TB (8). Further results of a recent meta-analysis in China support SLC11A1's role in host defense against TB (122). SLC11A1 polymorphisms at the D543N and INT4 loci have been suggested to contribute to TB progression from infection rather than to *M. tuberculosis* infection (271). Another SLC11A1 polymorphism (rs3731865) in African-Americans was found to be significant when considering an interaction with TLR2 (249), which emphasizes the importance of gene-gene interaction in TB-host

genetic studies (see below). It is clear from these studies that there is a great deal of allelic heterogeneity within SLC11A1. It is possible that the reported associations with SNPs in this gene are due to other adjacent or remote SNPs which are in some linkage disequilibrium with these reported variants, and discovering the actual regulatory variant is a point of emphasis of this current review. However, the 5'(GT) repeat in the promoter region of SLC11A1, consisting of 4 alleles, was found to affect promoter activity in a reporter gene assay (199), with the frequent allele 3 showing higher basal and lipopolysaccharide (LPS)-stimulated activity, possibly mediated by an adjacent LPS-related response element. While reporter gene activity alone is insufficient to establish clear evidence for *in vivo* activity, high activity was strongly associated with resistance and low activity with susceptibility to TB (130).

### ROLE OF INNATE IMMUNE GENE POLYMORPHISMS IN SUSCEPTIBILITY TO INFECTION AND DISEASE PROGRESSION

In the immunocompetent human host, the outcome of a primary infection is typically asymptomatic, i.e., the primary infection (during which time innate immune genes are critical) is silent (subclinical), and therefore, it is difficult to ascertain the time of primary infection. Following primary infection (considered a 2- to 12-week process), *M. tuberculosis* can either remain latent or, on occasion, reactivate to cause clinical disease, when adaptive immune genes play a major role. As a result, most studies have tested associations of innate immune genes with clinical TB, not with primary infection. In addition, there are few studies that address the question of how innate immune genes participate in the progression of primary infection to the clinical disease. Stein et al. (216) showed in a linkage and association study that *IL-10* and *TNFR* (along with *IFNGR1*) are implicated in the progression to active TB disease. In other instances, polymorphisms in innate immune genes, such as INT4 and D543N of SLC11A1 (271) and R753Q of TLR2 (55), were found to be involved in altering the progression of *M. tuberculosis* infection to active TB. Further evidence for the potential contribution of innate immune genes in clinical TB stems from reported interactions of the innate immune genes NOS2A and TLR4 with the adaptive immunity-related gene IFNGR1 (250) or NRAMP1 with IFNGR1 (62) in influencing susceptibility to TB. Taken together, the results overwhelmingly support a strong genetic component in infectivity, disease progression, and the clinical manifestation of TB, while the relative contribution of each gene in these processes needs to be clarified. Moreover, the evidence suggests gene-gene interactions (epistasis) as an important component of genetic influence in TB, with many of the candidate genes interacting in pathways or physically at the protein level. Future progress will depend on resolving such interactions.

### CAUSES OF VARIABILITY IN GENETIC ASSOCIATION WITH TB

Table 1 clearly illustrates a high degree of variability and discrepancy between the association of innate immune genes and TB from study to study, region to region, and population to population. Some polymorphisms have been associated with susceptibility or resistance to TB with inconsistent or even opposing findings, depending on the study. We can propose several hypotheses for these inconsistencies. First, a portion of the reported associations is spurious; this is likely to occur even if the significance level was adjusted for testing of multiple hypotheses, in view of the many



association studies performed with a few select polymorphisms detected some time ago. Many more large-scale studies are needed to sort out false positives and negatives. Second, multiple variants may have emerged independently in the same gene during evolution to enhance TB susceptibility or resistance, a likely scenario in the presence of positive selection pressure. Third, differences in ethnic and geographic characteristics can account for multiple genetic variants in these genes associated with TB, owing to the prevalence of genetically distinct *M. tuberculosis* strains and different allele frequencies in human populations. Fourth, the likely influence of epistasis emphasizes the genetic background against which selectable genes acquire favorable mutations. Today, all of these concepts are readily amenable to experimental scrutiny, but scant knowledge is available as yet. Here, we will focus on two major reasons, evolutionary selection pressure and study design, that can account for inconsistent results from linkage and association studies.

**Evolutionary selection pressure.** The accumulation of frequent genetic variants detected in TB association studies is probably a result of strong evolutionary selection favoring resistance to TB. For example, the *SLC11A1* locus appears to have been subjected to evolutionary selection pressure over a long time span (30, 199). The observation that Europeans have greater resistance to TB than populations of sub-Saharan African descent has been attributed to the longer time period that Europeans have been exposed to *M. tuberculosis* compared to Africans (66). Similarly, a study of a nursing home in the United States (214) showed that individuals of African descent were infected with *M. tuberculosis* twice as often as individuals of European descent in the same environment. The inverse relationship between autoimmune disorders and TB infectivity mentioned earlier provides an additional cue and points to balancing selection whereby heterozygous carriers might be protected while homozygous carriers could experience adverse effects unrelated to TB. Taken together, the broad spectrum of evidence demonstrates the pervasive effect of evolutionary selection pressure on TB susceptibility and disease resistance. In this review, we have summarized the many innate immune genes playing a critical role in TB, some of which already have documented signatures endorsing positive selection pressure. With large-scale human sequence data now available, it is imperative that each of these genes be tested for its evolutionary history, either neutral (random genetic drift or bottleneck selection) or under selection pressure. The latter is revealed by statistical methods, estimating the likelihood that a given variant and its associated haplotype have accumulated at a faster pace than expected from neutral processes. Where such evidence is detected, those genes should become the focus of future study. Moreover, the same genes need to be tested for epistatic interactions that can reveal hidden penetrance as a result of different ethnic backgrounds.

**Study design.** The quality of the study design has a great impact on the final results of association and linkage studies (215). Important factors include population sample size, diagnostic criteria, and the phenotype definition of TB. The availability of large populations will improve the power to yield statistically significant data. In many cases, nonreproducibility of genetic association results may have been due to false-positive or false-negative associations caused by low sample numbers, a particular concern when multiple investigators study the same few SNPs but adjust their significance values only to their own study design. Population

stratification poses a particular challenge in mixed populations where any given SNP can travel fortuitously with an ethnic subgroup more susceptible to TB—all will show significant associations. Use of Ancestry Informative Markers (AIMs) should be mandatory to minimize such problems while alternative solutions are being developed. Genome-wide association studies (GWAS) compound the problem of multiple-hypothesis testing and therefore require a much larger cohort, and yet, GWAS is similarly sensitive to stratification while providing data elements that can be used to minimize the problem. None of these study designs have systematically addressed the issue of epistasis and the selection of evolutionary target genes, a concept that could resolve some of the intractable problems encountered thus far. Other factors influencing study design are population substructures with various degrees of linkage disequilibrium, a main criterion for selecting marker SNPs, and differences in *M. tuberculosis* strains within a population. Significant advances are further impeded by a common absence of definitive information on what the causative variants are and their underlying biological consequences—the selection of surrogate SNP markers introduces much variability that can be avoided. Clarifying the underlying mechanism should be of high priority; we think that epistatic interaction in particular cannot be readily studied without selecting the causative variants, a topic discussed in more detail below.

Diagnostic criteria or differences in methods used to diagnose TB lead to various phenotype definitions used to classify disease severity, which results in potential misclassification of TB disease status (whether latent or active). For example, in case-control association studies, “controls” could be latently infected with *M. tuberculosis* if not properly diagnosed, generating significant errors in the evaluation of disease association with candidate genes. As pointed out by Moller et al. (146), the evaluation of an association study of TB is “exquisitely sensitive to phenotype definition.” Therefore, the phenotype definition of TB as a disease should be unambiguous to achieve reliable data.

#### FACTORS THAT INFLUENCE THE FUNCTIONS OF INNATE IMMUNE GENE POLYMORPHISMS

**Gene-gene interaction.** TB is polygenic with respect to host genetics (146). Gene-gene interactions play an important role in an individual's susceptibility to develop a disease, but gene-gene interactions in infectious diseases such as TB have been poorly studied until recently. Multilocus analyses have identified significant interactions between SNPs in *NOS2A* and those in *TLR4* and *IFNGR1* in promoting TB susceptibility (250). These findings are particularly interesting because the SNPs in *TLR4* and *IFNGR1* alone did not show significant effects and would not have been identified as associated with TB without the interaction with *NOS2A*. In a similar way, gene-gene interactions between variants of *SLCA11A* and *TLR2* in African-Americans (249), *SLCA11A1* and *IFNGR1* in South Africans (62), and *TNF* and *IL-10* in Tunisians (27) yielded significant associations with TB. Those multilocus and multigene approaches can identify critical variants that strongly influence the incidence of TB when combined with other gene variants, while alone they would not meet the criteria for statistical significance. However, even among only the innate immune genes, there are a large number of possible combinations, exacerbating the potential for attaining false-positive results. Rigorous statistical correction for multiple-hypothesis testing is essential (but may invalidate many findings by forcing too much

stringency), while the use of validated causative variants in genes undergoing positive selection would greatly facilitate the search for the critical gene-gene interactions.

***M. tuberculosis* genotype and/or phenotype variation.** Interactions between genotypes of the human host and those of a particular bacterial strain have been proposed to determine the onset and the progression of disease (146). A study of Vietnamese subjects detected an association between the C allele of *TLR2* 597T/C and TB caused by East Asian/Beijing genotype *M. tuberculosis* strains (41). Genetic variations in the 3'-UTR and the D543N SNP of *SLC11A1* (NRAMP1) were significantly associated with susceptibility to infection by *M. tuberculosis* Beijing strains in Indonesian TB patients (241) and with the incidence of MDR-TB cases in Japan (223), which suggests an influence of bacterial genotype/phenotype on host innate immunity. To what extent these same variants also interact with other *M. tuberculosis* strains remains to be tested. Because of ambiguities in such clinical association studies, the question of strain-specific human resistance variants has yet to be resolved, but functional genomics of both the host and the infectious agent are now feasible and need to be performed.

**HIV coinfection and other nongenetic factors.** The relationship between TB incidence and HIV coinfection is well established (47). A candidate gene association study in Uganda has reported an interaction between the TNFR gene and HIV in TB patients (216), which represents an example of gene-gene (epistasis) or gene-environment interaction. A homozygous genotype (YY) at the -221 codon of the MBL gene, associated with high MBL levels, conveyed susceptibility to TB in HIV-infected patients in South India (3). The same authors identified an association of the *VDR* regulatory haplotype b-A-T with protection against HIV infection and of the haplotype B-A-t with susceptibility to the development of TB in HIV patients (2), emphasizing HIV coinfection as a factor for polymorphism-mediated TB susceptibility. Since many studies have excluded HIV-positive cases, this area of study remains relatively unexplored. Other nongenetic factors influencing the functions of gene polymorphisms include diabetes, malnutrition, aging, socioeconomic conditions, environmental factors, etc. In an ambitious study by van der Eijk et al. (242), environmental factors were shown to contribute more to the development of TB than hereditary factors. However, such assertions are based on the assumption that environment and genetics are additive to account for 100% of the trait, while one needs to consider dynamic interactions where each factor is a main player, each potentially contributing more than 50%.

**Epigenetic factors.** Recent progress in human genome research indicates that epigenetic factors modulate disease risk and influence the impact of genetic variation in disease association. Epigenetic factors include DNA methylation, histone acetylation, and mediators such as microRNAs (miRNAs), etc. DNA methylation and histone acetylation regulate the transcription rate and/or tissue-specific expression of genes without altering the DNA sequence. MicroRNAs are short-nucleotide RNAs which play a role in posttranscriptional regulation of gene expression. The first report of a polymorphism in miRNA in relation to TB pathogenesis was recently published (120), where an SNP in miR-146a (rs2910194G/C), which regulates the TLR signaling pathway, was shown to be associated with an increased risk of pulmonary TB in a Chinese population. This SNP has been proposed to act by altering miRNA target selection and/or its expression, resulting in functional and/or phenotypic changes. More studies are needed to

demonstrate epigenetic regulation of innate immune genes via miRNAs that can be linked to TB. For example, miR-125b is highly expressed in response to *M. tuberculosis* infection and results in reduced TNF production by human macrophages (180); therefore, the genetics of miR-125b should be studied for the presence of any polymorphisms in miR-125b target sites. A recent report on *VDR* polymorphisms (6) has revealed the interaction between epigenetic processes and genetic variants, linking CpG island methylation at the 3' end of the *VDR* gene to its expression, an effect considered to be modulated by a TaqI allele. These epigenetic changes appeared to be ethnicity specific, suggesting an interesting case of gene-environment-epigenetic interactions.

## CURRENT CHALLENGES AND POTENTIAL SOLUTIONS

Strong evidence supports a critical role for genetic factors in susceptibility and resistance to TB, involving multiple genes of the innate immune system. For a number of these genes, evolutionary selection of variants can be demonstrated, presumably a result of pervasive pathogen-host interactions. Relatively unbiased approaches, such as GWAS (158), should continue to reveal additional candidate genes. One recent TB GWAS report (229) did not support previous candidate gene-based findings, although it identified a novel association locus (rs4331426) at chromosome 18q11.2 and was suggested to represent proof-of-principle for the utility of GWAS in understanding underlying molecular mechanisms of the disease. However, an integrated understanding of the concerted genetic factors underlying an individual's susceptibility to TB remains elusive. We can look forward to significant progress with the combined use of large-scale technologies that enable full sequencing of genomes and transcriptomes and functional genomics approaches (153, 158, 171, 246), leading to a systems biology analysis of host-pathogen interaction dynamics (47). In particular, we believe that further progress is needed with new approaches in the following areas.

(i) With increasing availability of human genomic sequences, it has become feasible to construct phylogenetic trees and overlay these onto TB traits such as infectivity and resistance, taking into account population dynamics and geographic distributions of host populations and *M. tuberculosis* strains (15, 38, 46, 170, 254, 268). Such an approach might resolve the question of which pathways are under evolutionary constraint, beyond an analysis of single genes, and allow for the integration of human and *M. tuberculosis* evolutionary paths.

(ii) A majority of the clinical association results have not been validated by molecular studies (Table 1) to reveal an underlying mechanism that could buttress the clinical results. The whole field is built on surrogate markers, with few exceptions. It is unlikely that the field will progress meaningfully unless the major relevant variants with both associated clinical evidence and underlying biological mechanism have been identified. The determination of functional SNPs and biochemical phenotypes (e.g., differential expression and function of innate immune molecules like receptors, cytokines, etc., by the host) is necessary. A novel molecular technique called "allelic expression imbalance (AEI)," utilized with great success in one of our laboratories, can be used to detect functional SNPs in heterozygous carriers (255, 274). AEI is used as a host phenotype to scan the gene locus surrounding the selected reference SNP to detect functional variant(s). Deep-sequencing technology can be used to determine both AEI and functional SNPs on a large scale.

(iii) Genetic variants under evolutionary selection often have accumulated to relatively high frequencies, e.g., in *NRAMP1* and *TLR2*. High frequencies of functionally relevant variants could represent a path toward a complete allele sweep, or it might show a signature of balancing evolution, wherein a polymorphism conveys protection against TB but homozygous carriers have reduced reproductive fitness or are at high risk of other diseases (1, 143). In the latter case, allele frequencies are limited to a maximum level balancing negative and positive fitness attributes. A better understanding of these relationships is germane to the management of TB disease.

(iv) It is important to understand how genetic variants in multiple genes interact with each other. For example, TNF induces the expression of multiple genes in the innate immune response (259), but a clear understanding of the epistatic interactions among these genes is lacking. Results from GWAS may yield clues to relevant candidate genes, but testing gene-gene interactions typically fails because of the exponentially expanding statistical problem of multiple-hypothesis testing, unless the underlying biological mechanisms are well understood. A systems biology approach, as outlined above, will assist in identifying two or more genes where validated polymorphisms are likely to potentiate or mitigate each other's effects. Few examples of such a systematic approach are available to date in any area, let alone in TB research. Logistic regression can be used as a preferable statistical method, as has been used in gene-gene interactions in TB (62) and other diseases (52).

(v) We note that many of the polymorphisms associated with TB represent regulatory variants rather than nonsynonymous SNPs that alter the amino acid sequence (e.g., *TNF*, *NRAMP1*, and *TLR2*) (1, 18, 265). It is perhaps intuitively obvious that regulation of gene expression could be a primary target of evolutionary pressure, since such changes are gradual and account for the balance that needs to be struck between, for example, immunity against TB and autoimmune disorders (1). In addition, regulatory polymorphisms are context dependent, namely, they can be active in a target cell (e.g., macrophage) and not in other cells or they can be selectively responsive to external stimuli. Again, few studies focus on these causative relationships that could shed light on a role in TB pathogenesis. For example, hypoxia-inducible factor 1 (HIF1) was shown to regulate *NRAMP1* expression levels by interacting with a microsatellite repeat region in the promoter of *NRAMP1*, known to be under evolutionary selection pressure (18). This critical insight provides a window into the cellular pathways potentially operative under conditions of infection. Much more work is needed along this line of investigation.

(vi) Some compounding factors, such as population substructure and linkage disequilibrium differences among populations, can complicate the results of candidate gene association studies. Dense SNP mapping, particularly in the case of African descent, is needed in order to capture linkage disequilibrium in the study population (215).

(vii) It is critical to integrate host gene-gene interactions with the genetic characteristics of *M. tuberculosis* strains and with environmental factors. If one considers each of these factors in concert with the others, one will be able to discern ethnic, cultural, and geographic differences that can inform health policies on a global scale. Certainly the tools are at hand for integration.

(viii) Finally, understanding the genetic and epigenetic underpinnings of TB will enable the development of new tools for the

diagnosis and control of TB. For example, detection of functional polymorphisms will provide next-generation genetic biomarkers that can be used for predicting the risk of infection and assessing TB risk in *M. tuberculosis*-infected individuals. Such data can inform health policy decisions and preventive measures. A better understanding of the genetic factors determining disease progression can also lead to the development of novel TB therapies (e.g., knowledge of an SNP that causes a nonfunctional protein and protection from TB can be used to express the target gene in a model system for screening inhibitor compounds).

In summary, the stage has been set to understand the genetics and evolution of TB with a view of both the pathogen and the host, the latter being the focus of this review in the context of innate immunity. It is likely that a number of critical genetic factors will converge to yield a comprehensive and dynamic representation of TB pathogenesis, with sufficient insight to successfully tackle the global problems caused by this age-old scourge of humankind.

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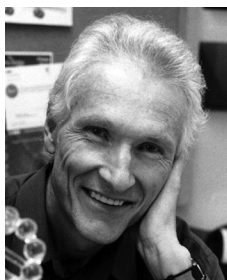


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**Abul Azad** started his research career as a microbiologist in mycobacterial genetics and subsequently moved to studies of mycobacterial pathogenesis. The knowledge gained in tuberculosis pathogenesis studies relevant to humans sparked a more recent interest in the study of human population genetics. He developed an intense interest in the study of genetic variations in the human genome and their relationship to the susceptibility and resistance of the human host to tuberculosis. He has been in this area of investigation for about 6 years, and he is currently an adjunct assistant professor in the Department of Microbial Infection and Immunity.



**Wolfgang Sadee** received a doctorate degree in Pharmaceutical Chemistry from the FU Berlin in 1968 and served on the pharmacy faculties of USC and UCSF until 2002. He is now Felts Mercer Professor of Medicine and Pharmacology, Chair, Department of Pharmacology, and Director, Program in Pharmacogenomics, with appointments in Psychiatry, Pharmacy, and Public Health, the Davis Heart & Lung Research Institute, and OSU Comprehensive Cancer Center. Dr. Sadee's research focuses on pharmacogenomics, and he participates in the NIH Pharmacogenomics Research Network. Specific areas of interest include expression genetics and human evolution as important drivers of human phenotypic variability, including disease risk, genetic factors in infections, and drug response. Based on his areas of expertise, he formed a partnership with the Schlesinger research team approximately 5 years ago to study the importance of frequent polymorphisms in genes of the innate immune system that are relevant to tuberculosis and other infectious diseases.



**Larry Schlesinger** is the Saslaw Professor of Medicine, Chair, Department of Microbial Infection and Immunity, and founding Director, OSU Center for Microbial Interface Biology (cmib.osu.edu). He has been studying pathogenic mechanisms for tuberculosis and diseases due to intracellular pathogens that subvert lung innate immune mechanisms for more than 20 years, focusing on pathogen-human phagocyte interactions. He has made major contributions related to phagocytosis and trafficking, mycobacterial glycolipids and host adaptation, and surfactant collectins in microbial immune responses. He has devoted his career to understanding the human immune response to pathogens. During his career, he has gained a keen appreciation for the marked variability in immune responses seen between donors in experiments. Thus, he has become interested in the application of novel approaches to tackle the underexplored area of frequent polymorphisms in innate immunity genes among healthy individuals and formed a partnership with the Sadee research team approximately 5 years ago.

